"WHEN LAND IS LOST, DO WE EAT COAL?"

COAL MINING AND VIOLATIONS OF ADIVASI RIGHTS IN INDIA
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The bulldozer entered our village at 10 am. At the time, many of us had left for our fields and our daily work. Hearing that demolitions had begun, we ran home as fast as we could. By the time I reached, my house had been broken down.

They didn’t even give us time to remove our belongings from our home - everything was destroyed, including a year’s worth of grain. It rained for a week after. In the next two days, we scraped together whatever we could. Our clothes were torn, belongings scattered - we built whatever shelter we could from what remained.

Where do we go? How do we survive? Who will listen to us now?

I understand that some people must make sacrifices for the nation, but why must it always be us?“

Nirupabai, forcibly evicted in February 2014 from Barkuta village, Chhattisgarh
EXECUTIVE SUMMARY

Coal is an important part of India’s economic growth story. Nearly two-thirds of India’s electricity is derived from coal, and the country is the third largest producer and consumer of the mineral in the world. The Indian government now plans to nearly double annual coal production by 2020 to meet growing energy requirements.

However coal mining in India also has a different cost, borne by the communities affected by these mines, who are rarely meaningfully informed or consulted when their land is acquired, their forests decimated, and their livelihoods jeopardised.

Crucial to India’s coal plans is the role of the giant Coal India Limited (CIL) – the country’s primary state-owned coal mining company and the world’s largest coal producer. CIL aims to increase its output to 1 billion tonnes annually by 2020, primarily by increasing production in existing mines. Nearly 93 per cent of CIL’s total production is through surface, or ‘open-cast’, mines.

About 70 per cent of India’s coal is located in the central and eastern states of Chhattisgarh, Jharkhand and Odisha, where over 26 million members of Adivasi communities live, nearly a quarter of India’s Adivasi population. Adivasi communities, who traditionally have strong links to land and forests, have suffered disproportionately from development-induced displacement and environmental destruction in India.

“We worshipped the forest god. We got all our firewood from here. This place was green, now it is black with dust...When agricultural land is lost, what are we supposed to eat? Coal?”

Hemanto Samrat from Gopalpur village, Sundergarh, Odisha
A raft of domestic laws require Indian authorities to consult, and in some cases seek the consent of, Adivasi communities before acquiring land or mining. International human rights law and standards also guarantee the right of Indigenous peoples to take part in the decisions that affect their lives and territories. However, these requirements are regularly flouted.

This report examines how land acquisition and mining in three mines in three different states run by three different CIL subsidiaries - which are all seeking to expand production - have breached Indian domestic laws, and India’s obligations under international human rights law. It also demonstrates how CIL as a company has failed to meet its human rights responsibilities.

The three coal mines profiled are South Eastern Coalfields Limited’s Kusmunda mine in Chhattisgarh, Central Coalfields Limited’s Tetariakhar mine in Jharkhand, and Mahanadi Coalfields Limited’s Basundhara-West mine in Odisha.

Adivasi communities in these areas complain that they have been routinely shut out from decision-making processes around their traditional lands, rights and resources. Many have had to wait for decades for the compensation and rehabilitation they were promised. The violations of their rights to consultation and consent - around land acquisition, environmental impacts, Indigenous self-governance, and the use of traditional lands - have led to serious impacts on their lives and livelihoods.

This report is based on research conducted between January 2014 and June 2016, which includes several interviews with members of Adivasi communities, activists and government officials.

“There is no answerability when this deliberate disrespect for the law is manifest.”

High-Level Committee on Socio-Economic, Health And Educational Status Of Tribal Communities Of India
LAND ACQUISITION:
COAL BEARING AREAS ACT, 1957

Land acquisition for coal mining by the government is carried out under the Coal Bearing Areas (Acquisition and Development) Act (CBA Act). The Ministry of Coal is responsible for monitoring the implementation of the Act. Under the Act, when the government is satisfied that coal can be obtained from a certain area, it declares its “intention to acquire” the land in the official government gazette. There is no requirement to consult affected communities, or seek the free, prior and informed consent of Indigenous peoples, as stipulated by international law.

Anyone who objects to the acquisition and who is entitled to claim compensation must file written objections within 30 days of the notice of acquisition to the office of the Coal Controller, under the Ministry of Coal, which goes on to make recommendations to the central government. After considering the recommendations, the central government can issue a declaration of acquisition of the land and all rights over it. These rights can then be transferred to a government company such as CIL.

There is no requirement for authorities to pay compensation before taking possession of land. The law has no provisions for ensuring that human rights impact assessments are conducted prior to land acquisition proceedings. There are no requirements to consult with non-landowners who may be affected by land acquisition, such as landless labourers. The law also does not offer adequate protection to communities from forced evictions.

The CBA Act undermines communities’ security of tenure and creates the legal basis for CIL to operate without due regard for the impact of its operations on human rights. The procedure for notification of acquisition under the Act does not amount to adequate notice as set out by international human rights law and standards.

Despite a parliamentary committee pointing out in 2007 that “coal reserves in the country are mostly in the far-flung areas inhabited by the tribal communities” who “hardly have any access to the Official Gazette wherein they could see that their lands are to be acquired for public purposes”, there have been no changes made to the process of informing communities that their land will be acquired.
KUSMUNDA, CHHATTISGARH

Kusmunda is one of India’s largest coal mines, covering about 2382 hectares in Korba district. South Eastern Coalfields Limited (SECL), which operates the mine, increased production capacity from 10 mtpa (million tonnes per annum) to 15 mtpa in 2009, to 18.75 mtpa in 2014 and 26 mtpa in early 2016.

To facilitate expansion of the mine, in June 2009, the Ministry of Coal declared its intention to acquire land under the CBA Act in four villages around the mine - Risdi, Sonpuri, Pali and Padaniya - followed by the village of Jatraj in 2010, in the official government gazette and in a notice in a newspaper. Over 3600 people live in these villages. Over a third of the residents in each village are not formally literate.

None of the affected families that Amnesty International India spoke to said they had been directly informed about the government’s intention to acquire land. Some found out that their land may be acquired only through word of mouth months or even years later.

Mahendra Singh Kanwar, an Adivasi man from Padaniya, said in April 2014: “We did not receive any notice about our land being acquired. We only heard recently that SECL now owns all our land.”

In March 2010, the Ministry of Coal announced that it had acquired over 752 hectares of land for SECL.

In 2014, SECL said that it was planning to expand production at the mine by up to four times. The expansion would involve the acquisition of additional land in the five villages of Amgaon, Churail, Khodri, Khaibawna and Gevra. Over 13,000 people live in these villages.

On 20 July 2014, the Ministry of Coal published a notification in the official government gazette declaring its intention to acquire 1051 hectares of land, including the entire villages of Amgaon, Churail, Khodri, and Khaibawna and part of Gevra. The government invited objections to be submitted within 30 days by those who were entitled to claim compensation if the land was acquired.

Adivasi communities in the five affected villages who stand to lose their homes and agricultural fields said they have not received any information about the rehabilitation and resettlement they would be entitled to. Their objections sent to the Coal Controller and to SECL were met with no response.

Vidya Vinod Mahant from Amgaon village said, “The acquisition notice was pasted on the wall of the office of the panchayat (village council). How do we object to this?”

The Ministry of Coal has not yet stated whether the acquisition of land in the five affected villages has been completed.
TETARIKHAR, JHARKHAND

The Tetariakhar mine is operated by Central Coalfields Limited (CCL), and is seeking to expand production from 0.5 mtpa to 2.5 mtpa. It covers an area of 131 hectares, including parts of the villages of Basiya (which includes the hamlet of Tetariakhar), Nagara, Jala and Pindarkom. Over 6400 people live in these villages, over half of whom are not formally literate.

The central government first acquired land in five villages in the region under the CBA Act in October 1962, but mining officially began only in 1992. During the first phase of land acquisition, about 40 hectares of private land in Pindarkom were acquired to build a road for trucks at the entrance of the mine. Land owners here said that they were never consulted.

On 18 August 2015, the Ministry of Coal published notifications in the official government gazette declaring its intention to acquire 49 hectares in Nagara and 25 hectares in Basiya under the CBA Act. Local communities from these villages said they were unaware of the new notification, and had only heard rumours that more of their land was going to be acquired.

The Ministry of Coal has not yet stated whether the acquisition has been completed.

Communities in the villages surrounding the Tetariakhar mine are also concerned about the fate of common lands called gair mazrua lands. Under a state law which applies to the district, a senior-level official in the district administration has to approve any acquisition of gair mazrua land for mining by the central government. However the central government does not follow this process, and instead uses the CBA Act to acquire common land without any consultation with communities.

Communities say about 40 hectares of gair mazrua land already acquired by the Central government has not even been used by CCL. Villagers in Nagara and Basiya continue to oppose the taking over of this land, asserting that they have lived off it for decades.

“We have been surviving on this land for generations. CCL, on the other hand, tells us that this is gair mazrua land and that no one can stop them from acquiring it”, said Sukhinder Oraon from Basiya, who has agricultural fields right next to the mine.

BASUNDHARA-WEST, ODISHA

The Basundhara-West mine in Sundergarh, Odisha is operated by Mahanadi Coalfields Limited (MCL), and is seeking to expand production from 2.4 mtpa to 8 MTPA. It spans 401 hectares across the villages of Sardega, Tiklipara and Kulapara. In 1989 and 1990, the central government acquired over 8000 hectares of land in fourteen villages, and transferred the land to MCL for coal mining. No consultations were held with affected communities, or consent sought.

Even after the acquisition, the government did not actively seek to use the land or evict families for many years. Several families received compensation only after a Supreme Court order in 2010.

MCL, through its subsidiary Mahanadi Basin Power Limited (MBPL), also aims to set up a 2x800 MW coal based ‘super critical’ thermal power plant on 860 hectares of land in the villages of Sardega, Tiklipara and Kulhapara, which were acquired under the CBA Act in 1989 and 1990 for coal mining.

Local gram sabhas (village assemblies) have objected to the proposal, saying that MCL could not begin proceedings for the transfer of their land for the power plant until the Supreme Court orders pertaining to compensation, rehabilitation and resettlement had been followed.
ENVIRONMENTAL IMPACT:
ENVIRONMENT (PROTECTION) ACT, 1986

As part of the environment clearance process under India’s Environment Protection Act (1986), state-level pollution control authorities are required to set up public consultations with local communities likely to be affected by the environmental impact of projects, to give them an opportunity to voice any concerns.

The Environment Impact Assessment notification, 2006 (amended in 2009) requires the concerned pollution control authority to advertise the hearing widely, including by publishing notice of the hearing in at least one major national newspaper and one regional language newspaper. In areas where there are no newspapers, authorities are required to use other means such as drum-beating and radio/television advertisements to publicise public hearings.

Prior to the public hearings, the concerned company is required to submit copies of the draft Environmental Impact Assessment (EIA) report, and summaries in English and the relevant local language, to various district-level authorities. These authorities are in turn required to provide publicity about the project and make the documents available for public inspection. EIA reports frequently use extremely technical language – there is unfortunately no requirement for either the concerned company or the pollution control board or any other authority to simplify the content of the EIA.

The EIA reports prepared are also supposed to involve social impact assessments. These are almost never carried out. Expert committees at the Ministry of Environment, Forests and Climate Change (MoEF) are supposed to consider applications for environmental clearances, and are supposed to submit them to ‘detailed scrutiny’. However these committees often do not engage substantively with concerns raised at public hearings.

In recent years, successive central governments have sought to dilute requirements for public hearings for certain categories of mines, putting the rights of local communities at further risk.
SECL has expanded production at the Kusmunda mine three times. Public hearings that were held as part of the environment clearance process for the expansions have suffered from serious drawbacks.

On 27 August 2008, the Chhattisgarh Environment Conservation Board (CECB) called for a public hearing on the mine’s expansion of its capacity from 10 to 15 mtpa. Around 3000 people live in the five affected villages of Padaniya, Pali, Barkuta, Sonpuri and Jataraj. Over a third of the residents in most of these villages, mostly women, are not formally literate.

As required, the CECB published a notice about the hearing in a local newspaper, and provided a copy of the EIA report to the head of the village council. However, as far as Amnesty International India could discover, no other efforts were made to publicise the hearings.

Some local residents, who said they had heard about the hearing from activists and had gone on to attend, reported that many of their concerns, including concerns about rehabilitation and resettlement and the impact of mining on agricultural land, had been dismissed by CECB authorities as being irrelevant. An official record of the meeting suggests that many of the issues raised appear to have been met with minimalist responses which did not address the concerns of local people.

In the MoEF’s letter granting environment clearance for the expansion in June 2009, the only mention made of the public hearing is: “Public hearing was conducted on 28.08.2008”. The letter did not go into any more detail about the issues raised during the public hearing.

In December 2012, the MoEF allowed coal mines to expand their production by up to 25 per cent without a public hearing if they were expanding within the existing land leased to them. In September 2013, SECL applied to the Ministry to expand the Kusmunda mine again, this time from 15 mtpa to 18.75 mtpa. They received the clearance in February 2014.

The EIA for the expansion mentioned a range of potential environmental impacts from the expansion, including air and noise pollution and contamination of land and water. However, the MoEF’s December 2012 notification meant that a public hearing did not have to be conducted to inform or consult communities about the expansion.

In June 2014, four months after the approval of the previous expansion, SECL applied again for an environmental permit to expand production from 18.75 mtpa to 62.5 mtpa. The CECB called for a public hearing for this expansion on 11 February 2015. Over 13,000 people live in the five affected villages of Khodri, Gevra, Amgaon, Khairbawna and Churail. Over a third of the residents, mostly women, are not formally literate.

The CECB published notices for the public hearing in local newspapers. However many members of local communities, including heads of village councils of Pali and Khodri villages, said that this had been inadequate, as there had been no other public advertisement of the date of the hearing, or any explanation of the project’s potential impacts by project or government authorities. At a focus group discussion involving 81 people from the affected villages, people said that they had only found out about the public hearing through a loudspeaker announcement that morning.

At the hearing, which was attended by Amnesty International India, SECL officials spent only a few minutes explaining the impact of the project. A large number of security force personnel were present at the hearing, which appeared to have intimidated locals from raising their concerns.

People raised concerns regarding rehabilitation and resettlement, compensation and employment, the impact of the mine on air quality, groundwater levels and agricultural activities, and the lack of information about land acquisition. Of 38 people who spoke at the public hearing, only one spoke in favour of the expansion. He was a CIL employee.

Mahesh Mahant, a resident of Khodri village, said, “We’ve lived next to this mine for almost 30 years, and watched our wells go dry, forests disappear and fields become unproductive. What is the point of this environmental public hearing, except to tell us that we’re not fit to live here anymore?”

Yet, on 3 February 2016, the MoEF granted environmental clearance to SECL to expand capacity at the Kusmunda mine to 26 mtpa. The clearance perfunctorily referred to the fact that a public hearing had been held and listed the concerns raised, but did not discuss them any further. The Ministry did not respond to questions about the reasons behind its decision.
TETARIKHAR, JHARKHAND

As part of the environment clearance process for the expansion of the mine’s production from 0.5 mtpa to 2.5 mtpa, the Jharkhand State Pollution Control Board (JSPCB) called for a public hearing on the mine’s expansion on 17 April 2012 in Balumath, about seven kilometres from Basiya and Nagara.

The JSPCB published notices of the public hearing in English and Hindi newspapers a month in advance. However, none of these newspapers are available in the villages of Nagara or Basiya, two of the main affected villages. Several villagers, including the village heads of Basiya and Jala, said that there had been no other publicity about the hearing.

The head of the Jharkhand State Pollution Control Board did not appear to know about the hearing, but told Amnesty International India that the onus of publicising any hearing was on CCL.

While the minutes of the public hearing are not publicly available, the EIA report includes a summary of the hearing. This summary mentions the opinions of only seven people, of whom only four were from affected villages. Two people from Nagara village who attended the hearing said that they had been invited to the hearing by CCL authorities a few hours prior to the hearing.

The EIA states that the issues raised at the hearing include dust pollution from the mines and from coal transportation and falling water levels.

On 2 August 2012, the MoEF’s Expert Appraisal Committee wrote that “most of the Public Hearing issues have not been addressed properly”. However, on 7 May 2013, the MoEF granted environment clearance for the Tetariakhkar mine expansion. The only mention made of the public hearing was one line, which said: “The Public Hearing was held on 17.04.2012.” No additional information was provided about whether CCL had taken any action on the issues raised in the public hearing.

BASUNDHARA-WEST, ODISHA

As part of the environmental clearance process for the expansion of the mine’s production from 2.4 to 8 mtpa, the Orissa Pollution Control Board (OSPCB) called for a public hearing on 30 May 2009. Over 3500 people live in the affected villages of Sardega, Tiklipara and Kulapara. On average, over a third of them are not formally literate.

The OSPCB published notices of the hearing in Odia and English newspapers. However residents of Sardega and Tiklipara, including those who were village council chiefs at the time, said that MCL had not made a copy of the mine’s draft EIA report available prior to the public hearing. They also said that the attempts made to advertise the hearing had been inadequate.

The hearing was held on 30 May 2009 in Garjanbahal, 6-8 kilometers from the affected villages, which made it difficult for poorer members of the community to attend. The OPSCB, in the official record of the public hearing, claimed that 100 people had attended, but only 48 had signed the attendance sheet. Over 80 per cent of the attendees were from the villages of Garjanbahal and Bankibahal, which are not directly affected. Of those who attended, only 12 people spoke.

The concerns expressed, as recorded in the minutes, ranged from control of dust and air pollution, provision of drinking water facilities and electricity, a coal transportation road and afforestation. More than half of those who spoke were not from the villages most affected by the mine.

On 25 February 2013, the MoEF granted an environment clearance for the expansion of the mine. The clearance letter referred to the hearing just once, in a line that reads: “Public hearing was conducted on 30.05.2009.”
INDIGENOUS SELF-GOVERNANCE: PANCHAYAT (EXTENSION TO SCHEDULED AREAS) ACT, 1996

Amendments made to India’s Constitution in 1993-94 conferred powers in relation to local development to elected village councils (or ‘panchayats’). In 1996, the Panchayat (Extension to Scheduled Areas) Act was enacted to extend these amendments to Scheduled Areas: certain Adivasi regions identified under the Constitution as deserving special protection.

The PESA Act requires that panchayats or gram sabhas be consulted before land is acquired in Scheduled Areas for development projects, and also before the resettlement or rehabilitation of people affected by such projects.

The central Ministry of Panchayati Raj is responsible for monitoring overall implementation of the Act. District-level authorities are responsible for seeking the consent of affected communities. The implementation of the Act has however been exceedingly poor.

KUSMUNDA, CHHATTISGARH

SECL has stated that land acquisition for CIL subsidiaries only has to follow the CBA Act, which does not require any form of consultation.

In March 2013, a local activist from Pali village filed a Right to Information application asking for details about the project’s compliance with the PESA Act. SECL responded that in cases of land acquisition under the CBA Act, the “PESA Act is not applicable”.

In a case filed by another activist from Korba at the Chhattisgarh High Court, the Chhattisgarh government and the Ministry of Coal both said that the requirements of consultation under the PESA Act would apply to any land acquisition by CIL. However in a disappointing judgement, a single-judge bench of the High Court agreed with SECL, and ruled that the PESA Act would not apply in cases of land acquisition under the CBA Act. An appeal is pending before the Chhattisgarh High Court.

TETARIKARH, JHARKHAND

Jharkhand state authorities have not held any consultations with communities under the PESA Act on their rehabilitation or resettlement.

In an interview, the District Development Commissioner of Latehar - the authority governing all village councils in the district and responsible for implementing the PESA – said that he was not aware that Latehar was a Scheduled Area under the Constitution with special protections for Adivasi communities. A CCL official said that “[Consultation under] PESA is not required under the Coal Bearing Areas Act.”

Over 40 people in the affected villages told Amnesty International that they had not even heard of the PESA. Nor were they aware that gram sabha consultation was required for land acquisition.

“If we haven’t heard of the laws, then how can we use them?” asked Kishor Oraon, an Adivasi man from Basiya.

BASUNDHARA-WEST, ODISHA

The Odisha government has weakened the requirement under the PESA Act of consultation with gram sabhas before land acquisition or rehabilitation and resettlement in Scheduled Areas, by designating the zila parishad – a district-level body – as the body which needs to be consulted, and not the gram sabha. This discrepancy has been criticised by a number of official bodies.

Authorities have not consulted communities under the PESA Act in any of the three affected villages on the mine expansion. No consultation has been held on the upcoming Mahanadi Basin thermal power plant either.

The Divisional Land Acquisition Officer of the Sadar division of Sundargarh said that the PESA act was not applicable to land acquisition under the CBA Act. The Sub-Divisional Panchayat Officer of the Sadar division asked, “If Odisha has not even drafted the rules for the PESA Act, how are we supposed to monitor its implementation?”

A local activist said, “The PESA Act was drafted by the government, the Fifth Schedule was drafted by the government, Coal India was created by the government. Then why doesn’t the government follow its own laws?”

RIGHTS OVER TRADITIONAL LANDS: FOREST RIGHTS ACT, 2006

The Scheduled Tribes and Other Traditional Forest Dwellers (Recognition of Forest Rights) Act, 2006 was enacted to correct the historical injustice faced by Adivasi communities in India and enable them to gain legal recognition of their rights over their traditional lands.

Under a 2009 order issued by the MoEF, for industrial projects to receive forest clearances from the Ministry, state governments have to obtain the consent of gram sabhas for any diversion of forest land. The gram sabhas are required to have a quorum of at least 50 per cent, and have to be recorded on video.
KUSMUNDA, CHHATTISGARH

The people affected by the Kusmunda mine include members of the Kawar, Gond, Rathia and Agaria Adivasi communities, who are all recognized officially as Scheduled Tribes under India’s Constitution. Traditionally agrarian and dependent on the land and forest for their livelihood, these communities have lived next to the Kusmunda mine for decades.

State governments are responsible for obtaining certificates from gram sabhas declaring their consent. However, SECL wrote directly to the head of the Pali village panchayat in May 2011 and again in February 2012, asking her to conduct gram sabhas seeking consent for diversion of forest land for the mine.

The villagers did not agree. In a subsequent gram sabha conducted on 29 December 2013, villagers opposed the expansion, instead demanding that rehabilitation and compensation be given to those who had been evicted from their homes in a nearby village.

On 8 February 2016, the Block Development Officer, Katghora, issued a notice for the conduct of three separate gram sabhas on 16 February in Pali, Padaniya and Khodri villages to seek the consent of villagers for the diversion of forest land for the expansion of the Kusmunda mine. Government officials claim that three gram sabhas were accordingly conducted on 16 February. However, local villagers said that the gram sabhas did not meet important requirements and two of them were invalid.

Villagers in Pali, including the head of the village council and her son, said that the gram sabha in Pali had only 42 attendees, when the quorum should have been about 800. They said that many villagers did not know of the gram sabha, and some who knew chose not to attend because they were opposed to the diversion of the forest land. Villagers who attended the gram sabha said that it had not been recorded on video and that they had not received any details about how the diversion of the forest land would affect them.

Activists, media persons and ten villagers from Padaniya, including the head of the village council, said that the gram sabha in Padaniya had been called off following opposition from the villagers who had attended, and nobody had consented to the diversion of forest land. They said that the gram sabha had not been recorded on video.

TETARIKHAH, JHARKHAND

The Tetariakhari mine is surrounded by forests, villages, agricultural fields and streams. Communities affected by the mine include Oraon Adivasis, who have depended on the forests for generations for food, fuel, medicine and building materials.

“The forest is part of who we are. It is where we collect firewood for the house, mahua, lac and tendu leaves. It is where we graze our livestock and it is where our gods reside,” said Suresh Uraon, 28, an Adivasi resident of Basiya village.

However members of local communities said that no gram sabhas had been conducted in the affected villages on the diversion of forest land for the mine. The Former Divisional Forest Officer, Latehar, and the Circle Officer, Revenue Administration, Balumath block, confirmed this. CCL authorities maintain there is no forest land involved in the project.

BASUNDHARA-WEST, ODISHA

Adivasi communities in the region surrounding the mine include Bhuiyan, Oraon, Kharia, Dhanwar, Gond, Agaria and Binjhwar Adivasi communities, who rely on the forest and traditional common land for food, grazing their livestock, firewood, and religious purposes.

No gram sabhas have been conducted by MCL in the affected villages of Sardega, Tiklipara or Kulapara on the diversion of forest land for the mine or its expansion.

The Mahanadi Basin thermal power plant will also involve the diversion of 143 hectares of forest land. The Divisional Forest Officer for the Sadar sub-division in Sundergarh, under which the affected villages fall, said that the forest land affected would be within the villages of Sardega and Tiklipara, which could further adversely impact the livelihoods of Adivasi communities in these villages.

Block-level officials proposed that gram sabhas be conducted on 11 and 12 September 2014 in Sardega and Tiklipara for the power plant. However, neither hearing took place. Local communities refused to conduct the gram sabhas, and instead wrote to district authorities that communities had not yet been fully compensated, and that the power plant was likely to further contaminate their air and water resources.
CONFUSION AND OBFUSCATION

Despite several legal provisions recognising Adivasi communities’ rights to consultation and consent, authorities and companies are known to use the complex mosaic of laws to deny communities their rights.

Activists in these areas told Amnesty International India that authorities frequently choose to deploy provisions which require them to do as little as possible by way of consultation. In the examples in this report, district, state government and central government authorities, besides companies, appear to see public hearings more as a bureaucratic hurdle to overcome than a genuine opportunity to hear and address community concerns.

"Is granting one land title more important to me or is a transmission line that is a state government project? For local rights, I cannot stop development," said the Divisional Forest Officer from Latehar, Jharkhand, while speaking to Amnesty International India. In this way, authorities appear to consider respect for Indigenous peoples’ rights as not being part of development.

A lack of harmonisation of the various overlapping laws enables authorities to dodge their responsibilities.

In December 2011, the central government set up a ‘Harmonisation Committee’ to align existing central laws with the PESA Act. This committee specifically recommended that the CBA Act be amended to require prior consultation with gram sabhas before any land acquisition. This report appears to have been largely ignored by the government.

The Scheduled Castes and Scheduled Tribes (Prevention of Atrocities) Act (PoA Act) was enacted to tackle particular kinds of caste-based discrimination and violence faced by people from Dalit and Adivasi communities. Amendments to the Act that came into force in January 2016 criminalize a range of new offences, including the wrongful dispossession of land.

INTERNATIONAL LAW AND STANDARDS

India is a state party to several international human rights treaties – including the International Covenant on Civil and Political Rights (ICCPR), International Covenant on Economic, Social and Cultural Rights (ICESCR), and Convention on Elimination of Racial Discrimination (CERD), whose treaty monitoring bodies have recognized the rights of Indigenous peoples to land, consultation and free, prior and informed consent in decisions that affect them.

The right of Indigenous peoples to lands they traditionally occupy is also recognized in ILO Indigenous and Tribal Populations Convention 107, which India has ratified. India also supported the adoption of the UN Declaration on the Rights of Indigenous Peoples (UNDRIP), which obligates states to consult and cooperate in good faith with Indigenous peoples to obtain their free and informed consent prior to the approval of any project affecting their lands or territories and other resources.

The ICCPR and ICESCR, along with other human rights treaties, also require India to refrain from and prevent forced evictions, defined as “the permanent or temporary removal against their will of individuals, families and/or communities from the homes and/or land which they occupy, without the provision of, and access to, appropriate forms of legal or other protection.”

Forced evictions may only be carried out as a last resort and only after all feasible alternatives to eviction have been explored in genuine consultation with affected people.

Companies such as CIL also have a responsibility to respect human rights in their operations. The UN Guiding Principles on Business and Human Rights require that companies “do no harm” or, in other words, take pro-active steps to ensure that they do not cause or contribute to human rights abuses within their global operations and respond to any human rights abuses when they do occur. CIL cannot point to the role of the government to defend the fact that it knowingly benefited from processes that violated the human rights of thousands of people.
CONCLUSION

As the Indian government rushes to increase coal production across the country, this report offers evidence of the very real human rights impacts of irresponsible mining, and of the pattern of human rights violations that appear to accompany mining by Coal India Limited.

The report demonstrates that Indian authorities have breached domestic laws and their obligations under international human rights law to protect the rights of Adivasi communities affected by CIL mines in Chhattisgarh, Jharkhand and Odisha.

State governments in these states must compensate affected communities for the loss of their assets and for impacts on their lives and livelihoods, undertake comprehensive human rights and environmental impact assessments and ensure that there are no further evictions until genuine consultations have taken place with affected communities, and that resettlement and compensation measures have been fully implemented.

The domestic Indian legal framework does not fully recognize the rights of Indigenous peoples. The Coal Bearing Areas Act legitimises land acquisition without consultation, enabling further human rights violations.

The central government must introduce a notification in Parliament ensuring that any land acquisition for coal mining involves social impact assessments and the seeking of the free prior informed consent of Adivasi communities. The potential human rights impact of proposed mines, or the expansion of existing mines, must be considered as part of the social impact assessment of the Environmental Impact Assessment process, and public hearings must always be carried out.

CIL and its subsidiaries have failed to respect human rights, thereby breaching well-established international standards on business and human rights. By continuing to acquire land through flawed processes that breach international law, CIL’s failure to respect human rights is ongoing.

CIL must urgently address and remedy the existing negative environmental and human rights impacts of the expansions of the Kusmunda, Tetariakh and Basundhara (West) mines, in full consultation with project-affected communities. It should ensure that these expansions do not go ahead until existing human rights concerns are resolved, and the free, prior and informed consent of affected Adivasi communities is obtained.

CIL should also conduct a comprehensive review of operations in all its coal mines across India to identify and assess human rights risks and abuses, and publicly disclose the steps taken to identify, assess and mitigate them.
1. BACKGROUND

COAL MINING IS BOOMING IN INDIA.

The mineral fuels around 66 per cent of India’s electricity production, and is critical to industries such as cement and steel.\(^1\) Production of coal has increased more than six-fold in the last three decades, and India is now the third largest producer and consumer of the mineral in the world. The government now plans to nearly double coal production to 1.5 billion tons annually by 2020,\(^2\) opening a new coal mine every month\(^3\) to meet growing energy requirements.

Crucial to this expansion is the role of the giant Coal India Limited (CIL) – the country’s primary state-owned coal mining company and the world’s largest coal producer – which produces about 82 per cent of India’s coal.\(^4\) The company supplies coal at discounted prices to nearly every coal-based thermal power plant in India.\(^5\) It is aiming to double output to 1 billion tonnes annually by 2019-20, and plans to spend about 570 billion INR (8.4 billion USD) in doing so.\(^6\) CIL plans to meet these targets primarily by increasing production in existing projects.\(^7\)

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2. Press Trust of India, “Coal production to double to 1 billion tonnes by 2019: Piyush Goyal”, Economic Times, 6 November 2014, at http://articles.economictimes.indiatimes.com/2014-11-06/news/55836084_1_coal-india-coal-production-india-economic-summit. However India is also among the world’s largest coal importers. Nearly 25 per cent of coal used in India is imported, mostly from Indonesia, Australia and South Africa.
7. Ministry of Environment, Forests and Climate Change, Minutes of the 41st Meeting of the Expert Appraisal Committee (Thermal and Coal Projects), 15 and 16 July 2015, p.34, at http://environmentclearance.nic.in/writereaddata/Form-1A/Minutes/0_0_8117122612171MOMof39thEAC_Coalheldon18th-17thJuly,2015.pdf
Following the nationalization of coal mines in the early 1970s, the Indian government controls most domestic coal production through CIL. The company, with its seven wholly-owned subsidiaries, operates 430 mines across eight states. These subsidiaries are South Eastern Coalfields Limited, Central Coalfields Limited, Eastern Coalfields Limited, Western Coalfields Limited, Northern Coalfields Limited, Mahanadi Coalfields Limited and Bharat Coking Coal Limited. (The central government and the government of Andhra Pradesh also control Singareni Collieries Company Limited, which produces about 10 per cent of the country’s coal.)

CIL is India’s seventh most valuable company in terms of market value, with a market capitalization of over 1971 billion INR (nearly 29b USD) in May 2016. CIL and its subsidiaries employ over 333,000 people. In 2014-15, the company had sales worth over 950 billion INR (14b USD). It produced 536 million tons of coal in 2015-16, a rise of 8.5 per cent over the previous year, its fastest growth ever.

The Indian government divested 10 per cent of its stake in CIL in 2010 for about 152 billion INR (2.2b USD), and another 10 per cent in 2015 for over 225 billion INR (3.3b USD). Its shareholding now stands at 79.65 per cent. Indian and overseas financial institutions, pension funds and other investors hold the rest of the shareholding. The government has stated that it plans to divest another 10 per cent in CIL in 2016.

However coal mining in India also has a different cost – one borne by the communities affected by these mines, who are effectively excluded from decisions that affect their lives and livelihoods, and whose rights are seen too often by authorities as being expendable in the ‘public interest’.

Much of India’s coal, like its other minerals, lies under the same lands which hold most of the country’s dense forests and are home to its Adivasi (Indigenous) communities. About 70 per cent of India’s coal is located in the central and eastern states of Chhattisgarh, Jharkhand and Odisha, where over 26 million members of Adivasi communities live. These states are also the sites of a decades-long conflict between security forces and banned Maoist armed groups.

9. Coal India Limited, “Annual report and accounts, 2014-15”, p.3, at https://www.coalindia.in/DesktopModules/DocumentList/documents/Annual_Report&_Accounts_2014-15_Deluxe_version_In_English_03102015.pdf. However CIL’s 2012 R&R Policy suggests that this may have been an unforeseen consequence of its previous rehabilitation policy: “In the past, subsidiaries found it easy to acquire land if they were able to offer employment. Partly because of this practice, subsidiaries have built up a largely unskilled labour force beyond their needs. This has contributed to the heavy losses and (sic) many mines are incurring and has also affected their efficiency and viability”. Coal India Limited, “Rehabilitation and Resettlement Policy (2012)”, p. 1, at https://www.coalindia.in/DesktopModules/DocumentList/documents/CIL_RR_2012_100412.pdf

Kusmunda Open Cast Mine, Korba, Chhattisgarh, as seen from Barkuta village, February 2015. © Amnesty International
Adivasi communities, who traditionally have strong links to land and forests, have suffered disproportionately from development-induced displacement and environmental destruction in India. Adivasis are estimated to comprise about eight per cent of India's population, but are estimated by the government to make up about 40 per cent of the 60 million people displaced by development projects in India since 1951.15

CIL and its subsidiaries are estimated to have displaced nearly 87,000 people since 1973, including over 14,000 Adivasis.16 These companies acquire land for their mines under a law called the Coal Bearing Areas (Acquisition and Development) Act— a law that contains provisions which fall well short of international human rights law and standards.

OVERBURDENED: HOW OPEN CAST MINING WORKS

In the 1970s, India’s coal production shifted from underground mining to surface or open cast mining (also known as open pit or open cut mining), sparking an acceleration in large-scale land acquisition for coal mines. Open cast mining has steadily increased over time. Only about two in five of CIL’s 430 mines in India today are open cast mines, but they account for nearly 93 per cent of its total production.

Open cast or surface mining is used when deposits of minerals are close to the surface and the soil over the mineral is easy to remove. It involves removing all trees and vegetation on the surface of the land, blasting and clearing the layer of soil between the surface and the coal deposits to expose the coal seams, and then drilling into and extracting the coal in strips. A distinctive feature of open cast coal mines in India are the mountains of ‘overburden’ - the overlying rock and soil dug away to reveal the coal underneath – which surround the mines, and the massive craters within. Open cast mining has relatively low production costs, but leaves a large, adverse environmental footprint in the form of dust and noise pollution, land degradation, deforestation and soil erosion.

Open cast mines are very land intensive, and can spread over thousands of hectares, depending on the extent of the coal deposit and the availability of cheap land. Additionally, the energy content of India’s coal has been declining steadily over the past several decades - from 5900 kilocalories per kg in the 1960s to around 3500 kilocalories per kg in the 2000s - as mines deplete their resources.

This means that larger volumes of coal must be burned to achieve the same level of electricity generation, generating more pollutant emissions, and in turn requiring more land to be acquired and mined.
Adivasi communities enjoy special protections under law in India, but they are frequently denied these rights in practice. As a government-appointed high level committee which submitted a comprehensive report on the status of Adivasis in 2014 states: “Tribal communities face disregard for their values and culture, breach of protective legislations, serious material and social deprivation, and aggressive resource alienation.”

A raft of legislations, including India’s Panchayat (Extension to Scheduled Areas) Act, Forest Rights Act and Environment Protection Act, require authorities to consult, and in some cases seek the consent of, Adivasi communities before acquiring land or mining. International human rights law and standards guarantee the right of Indigenous peoples to take part in the decisions that affect their lives and territories.

This report demonstrates how authorities in India have consistently violated these obligations under Indian and international law by failing to inform or meaningfully consult Adivasi communities on decisions around coal mines run by CIL.

The report profiles three coal mines run by three different CIL subsidiaries in three states: South Eastern Coalfields Limited’s Kusmunda mine in Chhattisgarh, Central Coalfields Limited’s Tetariakhar mine in Jharkhand, and Mahanadi Coalfields Limited’s Basundhara-West mine in Odisha. It shows how land acquisition and mining in these mines have breached India’s obligations under domestic and international law, and how CIL as a company has failed to meet its human rights responsibilities. It also reveals how the continued use of the antiquated Coal Bearing Areas Act facilitates these abuses.

Each of the three mines profiled has existed for several years. Each of them is now seeking to expand production, in line with CIL’s push to increase its output to one billion tonnes by 2020. The Kusmunda mine is expected to become Asia’s biggest coal mine in time. While these mines were at first welcomed by some local Adivasi communities who thought they would bring employment and prosperity, their expansion is now vehemently opposed until existing violations are remedied.

Adivasi communities in the areas complain that they have been routinely shut out from decision-making processes around their traditional lands, rights and resources. Many have had to wait for decades for the compensation and rehabilitation they were promised. The violations of their rights to consultation and consent has led to serious impacts on their lives and livelihoods.

Many Adivasi communities living in these regions continue to face urgent and grave risks to their rights, including the threat of forced evictions. As the Indian government rushes to increase coal production across the country, this report offers evidence of the very real human rights impacts of irresponsible mining, and of the pattern of human rights violations that appear to accompany mining by Coal India Limited.

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**THE COAL ALLOCATIONS SCAM AND THE SUPREME COURT**

Coal mining in India has been riddled with crony capitalism. One of the biggest corruption scandals in Indian history is the coal mine allocation scam, in which many mines were allocated over years to private players with little or no experience in coal mining. Many of these companies earned windfall profits in the process.

In August and September 2014, the Supreme Court of India delivered a historic judgment that declared all 218 allocations of coal mines made to private coal producers between 1993 and 2011 to be illegal and arbitrary, and cancelled the allocations of 214 mines.

In March 2015, the government of India passed the Coal Mines Special Provisions Act, 2015, which enabled auctions for coal blocks to private companies, including those implicated in the coal scam who face allegations of violating laws around consent and consultation. Around 40 coal mines have since been auctioned.

The cancellation of coal mine licenses has increased the government’s emphasis on expanding production at CIL mines.

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21 Ministry of Tribal Affairs, “Report Of The High Level Committee On Socio-Economic, Health And Educational Status Of Tribal Communities Of India”, May 2014, p.32, at http://www.indiaenvironmentportal.org.in/files/file/Tribal%20Committee%20Report,%20May-June%202014.pdf

22 Supreme Court of India, Manohar Lal Sharma v. The Principle Secretary and Ors, decided on 14 September 2014, at http://supremecourtofindia.nic.in/outtoday/wr120.pdf


24 The Act also allows foreign companies with Indian subsidiaries to mine coal commercially. The government has identified mines it plans to auction to private companies to mine coal commercially. See Krishna N. Das, “After 40 years, India set to re-open commercial coal mining to private firms”, Reuters, 8 January 2016, at http://in.reuters.com/article/india-coal-idINKBN0UM1KS20160108
This report is based on field research conducted by Amnesty International India between January 2014 and June 2016. Researchers visited the three profiled mines several times - in January, April, July and September 2014, in February and October 2015, and in March and June 2016.

Amnesty International India conducted one-on-one interviews with 61 members of affected Adivasi communities by the Kusmunda mine, 31 people affected by the Tetriakhar mine and 32 affected by the Basundhara-West mine. Researchers also conducted three focus group discussions with men and women from a range of Adivasi and non-Adivasi communities in villages surrounding the Kusmunda mine, seven in villages surrounding the Tetriakhar mine, and five in the villages surrounding the Basundhara-West mine. 33 people were interviewed across all three locations two or three times, to gauge any change in their situation.

Researchers also met several civil society organisations, including journalists and lawyers at the mine sites and in Chhattisgarh, Jharkhand, Odisha and Delhi. Amnesty International India met several village, district and state government officials, including from the Jharkhand, Odisha and Chhattisgarh state forest departments and pollution control boards, and the Latehar, Sundergarh and Korba district administration offices.

Researchers also interviewed representatives of the three CIL subsidiary companies - South Eastern Coalfields, Mahanadi Coalfields and Central Coalfields, including the Project Officer of CCL's Tetriakhar mine, the Chief Manager (Environment) of CCL's Tetriakhar mine, the Chief Manager (Environment) of Mahanadi Coal Fields (Basundhara) in September 2014 and October 2015, and the General Manager (Kusmunda Area) in March 2016.

Interviews with community members were conducted mainly in Hindi (and Odia in Odisha, translated into Hindi). Interviews with government officials and civil society representatives were conducted in Hindi or English.

In February 2015, Amnesty International India attended a public hearing in Korba, Chhattisgarh, which was held as part of the environment clearance process for the expansion of the coal mine in Kusmunda, Chhattisgarh.

In April 2016, Amnesty International shared the main findings of this report with the relevant state authorities and companies, seeking clarifications and offering them an opportunity for comment. No response was received. Letters were sent to the Chhattisgarh State Environment Conservation Board; the Jharkhand Pollution Control Board; the Odisha State Pollution Control Board; the Ministry of Environment, Forests and Climate Change; the Ministry of Coal; South Eastern Coalfields Limited; Central Coalfields Limited; and Mahanadi Coalfields Limited. No response was received.

This report also draws on extensive desk-based research, including analysis of India’s legal framework and the environmental impact assessments for the three mines.

Amnesty International India thanks the communities and human rights defenders in Korba, Sundergarh, Raigarh and Latehar for their courage and generosity with their time and energy. Pseudonyms have been used for some community members in the interests of their safety and security.
2. A MOSAIC OF LAWS

Several laws and policies govern the rights of Indigenous people affected by mining in India, ranging from laws granting community rights over forests and special protections to Adivasis to those regulating land acquisition and environment protection. In addition, there are provisions under the Constitution, state-specific laws and policies, and Coal India’s own rehabilitation policies. A range of government agencies at the state and central government level are responsible for enforcing these laws.

This complicated set-up often leads to inconsistent and contradictory interpretations of the protections enjoyed by Adivasi families and the specific responsibilities of authorities in state governments, the central government and Coal India Limited. This chapter analyses some of the different laws that apply to coal mining in India, and the implementation gaps that exist on the ground.

CONSTITUTION OF INDIA

Indian law does not formally recognize any communities as being Indigenous. However, the Indian Constitution provides special status to several Adivasi communities (identified as ‘Scheduled Tribes’), acknowledging their historic disadvantages and their unique cultures and relationship with their lands.25

The Fifth Schedule of the Constitution lists certain districts and territories in nine states where Adivasi communities live as protected ‘Scheduled Areas’, where these communities have special customary rights over their land. The Constitution states that in these areas, state governments can make laws regulating the transfer of land by or among Adivasis.

In 1997, the Supreme Court ruled in the case of Samatha v. State of Andhra Pradesh28 that the provisions of the Fifth Schedule also applied to the transfer of private or government land in Scheduled Areas to non-Adivasis.

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26. States in North-East India are not covered by the Fifth Schedule, but by the Sixth Schedule of the Constitution.

27. Article 5(2) of the Fifth Schedule, Constitution of India.

COAL BEARING AREAS
(ACQUISITION AND DEVELOPMENT)
ACT, 1957

Land acquisition for coal mining by the government is carried out under the Coal Bearing Areas (Acquisition and Development) Act (CBA Act), passed in 1957 to “establish greater public control over the coal mining industry and its development.” The Ministry of Coal is responsible for monitoring the implementation of the Act.

The CBA Act contains no provisions which require authorities to consult affected communities, or seek the free, prior and informed consent of Indigenous peoples. There is no requirement for authorities to pay compensation before taking possession of land. Land acquisition under the CBA Act is explicitly exempted from the requirements of social impact assessment, consultation and consent imposed by the Land Acquisition Act of 2013.

The law also has no provisions for ensuring that human rights impact assessments are conducted prior to land acquisition proceedings. There are no requirements to consult with non-landowners who may be affected by land acquisition, such as landless labourers whose livelihoods are dependent on the land to be acquired. The law also does not offer adequate protection to communities from forced evictions. The only concession to consultation made is in allowing for objections to be filed by land-owners when land is sought to be acquired.

The government has used the CBA Act to acquire land for coal mining in contravention of both India’s domestic laws and its international human rights obligations. The Act undermines communities’ security of tenure and creates the legal basis for CIL to operate without due regard for the impact of its operations on human rights.

Land acquisition under the CBA Act is as follows:

- Where it appears to the central government that a certain area is likely to contain coal deposits, it declares its intention to prospect for coal through a notification published in the official government gazette.29 (There is no requirement to inform or consult any person who may be affected.)

- If the government is satisfied that coal is obtainable, it must declare its intention to acquire land within three years in the official gazette.30 Again, there is no requirement to inform or consult people who may be affected.

- Any person who objects to the acquisition (presumably after reading about it in the official gazette) – and who is entitled to claim compensation - must file written objections to the Office of the Coal Controller within 30 days of the second notice being published, and has an opportunity to be heard.31 The Coal Controller then makes recommendations to the central government. [Even this step can be omitted if the government states that this is “in case of urgency”.32]

- After considering the recommendations, if the central government is satisfied, it issues a declaration of acquisition of the land and all rights over it. On the publication of this declaration in the official gazette, the land “shall vest absolutely in the central government [free from all encumbrances]”.33 These rights can be transferred to a government company such as CIL.

In 2008, a parliamentary committee examined CIL’s rehabilitation and resettlement policies and land acquisition under the CBA Act.34 The committee observed that “coal reserves in the country are mostly in the far-flung areas inhabited by the tribal communities” who “hardly have any access to the Official Gazette wherein they could see that their lands are to be acquired for public purposes”. However the only solution it proposed was a mechanism to ensure that “people automatically become aware of the acquisition of their land”, and be allowed to file their objections within 90 days. Even these measures have not been adopted.

Sreedhar Ramamurthi, Chairperson of ‘mines, minerals & PEOPLE’, a nationwide alliance of civil society organisations, told Amnesty International India: “Even as objections, the only issues that can be raised are the inadequacy of compensation, difference in the land area mentioned by the government to what you think is your land size. You cannot say that you do not want your land to be acquired. You can certainly write it, but it will be declared invalid.”

29. Section 4(1) of the CBA Act.
30. Section 7(1) of the CBA Act.
31. Section 8(1) of the CBA Act.
32. Section 9(1) of the CBA Act.
33. Section 10(1) of the CBA Act.
PANCHAYAT (EXTENSION TO SCHEDULED AREAS) ACT (PESA), 1996

Amendments made to India’s Constitution in 1993-94 conferred powers in relation to local development to elected village councils (or ‘panchayats’). In 1996, the Panchayat (Extension to Scheduled Areas) Act was enacted to extend these amendments to Scheduled Areas.

The PESA Act requires that panchayats or gram sabhas (village assemblies comprising all adult members of a village) be consulted before land is acquired in Scheduled Areas for development projects, and also before the resettlement or rehabilitation of people affected by such projects. The central Ministry of Panchayati Raj is responsible for monitoring overall implementation of the Act. District-level authorities are responsible for seeking the consent of affected communities.

In 1998, the Ministry of Rural Development issued executive instructions stating that any company (or ‘requiring body’) seeking land acquisition in a Scheduled Area must obtain letters of consent from each of the concerned gram panchayats in favour of the acquisition. The Ministry of Panchayati Raj has also issued suggested Draft Model Rules in 2009 and implementation guidelines in 2010, which state that land acquisition authorities are responsible for informing gram sabhas about the details of any proposed land acquisition and the social and environmental impact.

The implementation of the Act has largely been exceedingly poor. In a welcome departure in April 2013, the Supreme Court ruled in a landmark judgement, relying on the PESA Act, that Adivasi communities would have the final decision on plans for a bauxite mine by Vedanta Resources in the Niyamgiri hills of Odisha. The Court ruled that the gram sabhas of villages located near the proposed mine would decide if the mine in any way affected their religious and cultural rights, including their right to worship.

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A Kharia adivasi man walks towards the forests near Sardega village, acquired by Mahanadi Coalfields for its mine expansion and for an upcoming thermal power plant. No consultations have been held in Sardega on rehabilitation, in contravention of the PESA Act, September 2014. © Amnesty International India.

SCHEDULED TRIBES AND OTHER TRADITIONAL FOREST DWELLERS (RECOGNITION OF FOREST RIGHTS) ACT, 2006

Popularly referred to as the Forest Rights Act (FRA), this law was enacted to correct the historical injustice faced by Adivasi communities in India and enable them to gain legal recognition of their rights over their traditional lands.

It recognizes the customary rights of forest-dwelling Scheduled Tribes and other ‘traditional forest-dwellers’ to land and other resources. Members of these communities can claim individual rights over forest land they depend on or have made cultivable. Communities can also file for rights over common property resources, including community or village forests, religious and cultural sites, and water bodies.

Under the Forest Rights Act, village assemblies, known either as gram sabhas or panchayats, have a key role in determining who has rights to which forest resources. Gram sabhas also play a key role in deciding whether forest land can be ‘diverted’ - meaning destroyed - for non-forest use, including for mining. Under India’s Forest (Conservation) Act, any diversion of forest land must be granted a ‘forest clearance’ by an advisory committee set up by the central Ministry of Environment and Forests. Under the FRA, gram sabhas, supported by sub-divisional and district-level committees, have the authority to determine and verify forest rights. A state-level committee monitors implementation, while the central Ministry of Tribal Affairs is responsible for overseeing overall implementation.

In 2009, the Ministry of Environment and Forests issued an order stating that for projects to receive forest clearances, state governments had to obtain the consent of gram sabhas for any diversion of forest land (in addition to gram sabhas certifying that recognition of rights under the FRA was complete). State governments are required to certify that decisions on diversion have taken place only when there was a quorum of at least 50 per cent of the members of the respective gram sabha. In 2012, the Ministry stated that the procedure of seeking consent had to involve the provision of information, and the gram sabha meetings had to be recorded on video. District-level authorities are responsible for seeking the consent of affected communities.

There has been widespread opposition to the implementation of this order from local government authorities. Several civil society organisations and even the Indian Ministry of Tribal Affairs have noted that the order is followed mostly in the breach.

“We live on forest land, we herd our livestock here, we get our food from here, both by hunting and food from the forest. We worship the forest mother too.” Dhani Mahakul, Sardega village, September 2014. © Amnesty International India.
ENVIRONMENT (PROTECTION) ACT, 1986

India’s Environment Protection Act, 1986, empowers the central government to take any necessary measures to protect the environment and prevent environmental pollution. In 1994, Environmental Impact Assessment (EIA) reports were made mandatory for industrial projects. The Ministry of Environment, Forests and Climate Change (MoEF) is responsible for monitoring the implementation of the Act.

In 2006, the MoEF passed a notification which comprehensively amended how EIAs were conducted (the public hearing procedure was updated in 2009). Under the notification, all projects of a certain size require an environmental clearance from an ‘Expert Appraisal Committee’ set up by the MoEF. As part of the environment clearance process, state-level pollution control authorities are required to set up public consultations with local communities likely to be affected by the environmental impact of projects to give them an opportunity to voice any concerns.

Prior to these public hearings, the concerned company is required to submit copies of the draft EIA report, and summaries in English and the relevant local language, to various district-level authorities. These authorities are in turn required to provide publicity about the project and make the documents available for public inspection. EIA reports frequently use extremely technical language – there is unfortunately no requirement for either the concerned company or the pollution control board or any other authority to simplify the content of the EIA.

Pollution control authorities are required to advertise the hearing widely, including by publishing notice of the hearing in at least one major national newspaper and one regional language newspaper. In areas where there are no newspapers, they are required to use other means such as ‘beating of drums’ and radio/television advertisements.

The public hearing should be held near the proposed project site, and authorities have to seek written responses from other concerned persons with a plausible stake in the project’s environmental aspect. Pollution control authorities are required to arrange for the proceedings to be recorded on video. The District Magistrate or Collector (the senior-most government official in the district) or a representative is supposed to supervise the hearing.

Representatives of the concerned companies are required to make presentations about the projects and present the summary EIA report. The state pollution control authority submits the minutes of the hearing to the MoEF Expert Appraisal Committee which consider applications for environmental clearances, and is supposed to submit them to ‘detailed scrutiny’. However these committees often do not engage substantively with concerns raised at public hearings.

The EIA reports prepared are also supposed to involve social impact assessments. These are almost never carried out. The MoEF committees or the state pollution control authorities are also not required to evaluate the EIAs to assess their accuracy or completeness. The environment clearance process, in practice, is overly dependent on EIA reports commissioned by project proponents. These reports are written by consultants chosen and paid by the companies, leading to an inherent conflict of interest. A former Environment Minister stated, “Environmental impact assessment report is a bit of joke. I admit it publicly. In our system, the person who is putting up the project will be preparing the assessment report.”

In recent years, successive central governments have sought to dilute requirements for public hearings for certain categories of mines, putting the rights of local communities at risk.

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Until 2013, land acquisition for development projects in India was carried out under the Land Acquisition Act, 1894. This law's lack of safeguards and loose definition of the 'public purpose' for which land could be acquired led to large-scale forced evictions, uprooting millions of families from their homes without adequate compensation, rehabilitation or remedy. The law contained no provisions for consulting affected communities, obtaining the consent of Adivasi communities, or assessing the human rights impacts of land acquisition.

A new law on land acquisition - the Right to Fair Compensation and Transparency in Land Acquisition, Rehabilitation and Resettlement Act, 2013 (LARR) - came into force in January 2014, which vastly improved on the law of 1894, and contained several progressive provisions related to consultation, consent and social impact assessment.

The Act states that the consent of 70 per cent of affected families is mandatory where land is sought to be acquired for public-private partnership projects, and 80 per cent for private projects. It also contains a provision requiring the prior consent of the concerned gram sabhas in Scheduled Areas before land can be acquired. The Act also mandates, prior to land acquisition, a social impact assessment (SIA) – a study by independent experts to map a project’s impact on people’s lands and livelihoods, and its economic, social and cultural consequences, in consultation with affected communities.

However the Act explicitly exempts land acquired under 13 other laws - including The Coal Bearing Areas Acquisition and Development Act, 1957, under which land is acquired for public-sector coal mining - from its requirements. The provisions on compensation, rehabilitation and resettlement under the Act were extended to these laws by the central government in August 2015, but the requirements of social impact assessment, consultation and consent still don’t apply.

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50. However the Act also appears to recognize that “involuntary displacement” of Scheduled Tribes families can take place elsewhere.


52. In 2015, the central government passed a bill seeking to introduce major amendments to the Land Acquisition Act, including limiting the applicability of provisions on consultation, consent and social impact assessments to a wide range of projects. The bill was passed by the lower house of Parliament, but following popular opposition, the government dropped the measures. See Amnesty International India, “Submission to the Joint Parliamentary Committee examining the Right to Fair Compensation and Transparency in Land Acquisition, Rehabilitation and Resettlement (Second Amendment) Bill, 2015”, 2015, at https://www.amnesty.org.in/images/uploads/articles/Amnesty_International_India__LARR_Submission.pdf
SCHEDULED CASTES AND SCHEDULED TRIBES
(PREVENTION OF ATROCITIES)
ACT, 1989 (AS AMENDED IN 2016)

The Scheduled Castes and Scheduled Tribes (Prevention of Atrocities) Act (PoA Act) was enacted to tackle particular kinds of caste-based discrimination and violence faced by people from Dalit and Adivasi communities. Amendments to the Act that came into force in January 2016 criminalize a range of new offences, including the wrongful dispossession of land. Under Section 3(1)(g) of the amended Act, anyone who is not a member of a Scheduled Caste or a Scheduled Tribe who “wrongfully dispossesses a member of a Scheduled Caste or a Scheduled Tribe from his land or premises or interferes with the enjoyment of his rights, including forest rights, over any land or premises or water or irrigation facilities” can be imprisoned for up to five years.53

(The implementation of this law has historically been extremely poor. In 2014, the rate of convictions for crimes under the Act was under 29 per cent.54 Civil society groups have said that many crimes against Dalits and Adivasis are not registered under provisions of the PoA Act because the police are reluctant to use the law.55)
Confusion and Obfuscation

Despite several legal provisions recognising Adivasi communities’ rights to consultation and consent, authorities and companies are known to use the complex mosaic of laws to deny communities their rights.\(^{56}\)

Under Indian law, Adivasi communities affected by mining by CIL in Scheduled Areas need to be consulted under the PESA Act (on land acquisition and rehabilitation) and the Environment (Protection) Act (as part of the environment impact assessment process). Their consent needs to be sought under the Forest Rights Act (on diversion of forest land).

These laws fall short of international law and standards which stipulate the free, prior and informed consent of Indigenous peoples on all decisions which affect them. Yet even these inadequate laws are frequently not enforced.

Activists in these areas told Amnesty International India that authorities frequently choose to deploy provisions which require them to do as little as possible by way of consultation. In the examples in this report, district, state government - including pollution control boards\(^{57}\)- and central government authorities such as the Ministry of Environment, Forests and Climate Change\(^{58}\), besides companies, appear to see public hearings more as a bureaucratic hurdle to overcome than a genuine opportunity to hear and address community concerns.

In this way, authorities appear to consider respect for Indigenous peoples’ rights as not being part of development. A lack of harmonisation of the various overlapping laws enables authorities to dodge their responsibilities.

In December 2011, the central government set up a ‘Harmonisation Committee’ to align existing central laws with the PESA Act. This committee specifically recommended that the CBA Act be amended to require prior consultation with gram sabhas before any land acquisition.\(^{60}\)

This report appears to have been largely ignored by the government. As a result, South Eastern Coalfields Limited, a subsidiary of Coal India Limited, continues to claim that the land acquisition by CIL subsidiaries in Scheduled Areas only has to follow the CBA Act, and not the PESA Act. (The PESA Act requires authorities to consult Adivasi gram sabhas before acquiring land, while the CBA Act does not require any consultation.)

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In a case filed by Chhattisgarh activist Laxmi Chauhan at the Chhattisgarh High Court, the Chhattisgarh government and the Ministry of Coal both said that the requirements of consultation under the PESA Act would apply to any land acquisition by CIL. However, in a disappointing judgement, a single-judge bench of the High Court agreed with SECL, and ruled that the PESA Act would not apply in cases of land acquisition under the CBA Act.61

Similarly, there is confusion on the ground about the applicability of the Forest Rights Act of 2006. Government officials who Amnesty International India interviewed at the village, district and state-level in the forest, tribal welfare and land acquisition departments, were unclear about the applicability of the FRA in areas where the CBA was applied to acquire land for coal mining. The confusion around, and the misreading of these laws and their application, have created a situation where the rights of Adivasi communities to consultation and free, prior and informed consent continue to be violated with impunity.

A committee appointed by the central government in 2014 to comprehensively assess the status of Adivasi communities in the country spoke of the “disregard for laws that have been enacted to protect the interests of [Adivasis].” It put the matter pithily: “There is no answerability when this deliberate disrespect for the law is manifest.”62
DILUTING THE RIGHT TO CONSULTATION

In recent years, successive governments have sought to dilute requirements for public hearings for coal mines of certain sizes, following requests by the Ministry of Coal, putting the rights of local communities at risk.

On 19 December 2012, when a Congress party-led coalition government was in power, the MoEF allowed all coal mines to expand their production capacity by up to 25 per cent without conducting a public hearing.63

On 7 January 2014, the Ministry extended this exemption to coal mines with an existing production capacity of up to 8 mtpa (million tons per annum) seeking to expand their capacity by up to 50 per cent.64

On 30 May 2014, after a Bharatiya Janata Party-led coalition government came to power, the MoEF issued an executive memorandum further extending the exemption from conducting public hearings to coal mines with a production capacity between 8 and 16 mtpa. The memorandum said these mines could expand by up to 25 per cent, with an additional production of 4 mtpa, if they met certain conditions around the transportation of coal.65

On 28 July 2014, the Ministry issued another memorandum, this time extending the exemption from conducting public hearings to mines with a capacity above 16 mtpa seeking to expand their capacity by up to 5 mtpa.66

On 2 September 2014, the Ministry went further, exempting mines with a production capacity of over 20 mtpa seeking to expand capacity by up to 6 mtpa from conducting public hearings.67

Amnesty International India’s research indicates that between January 2014 and April 2016, 11 out of 21 Coal India mine expansion projects which were granted environmental clearances used the exemptions they were granted by the MoEF to avoid conducting public hearings.

In July 2015, the Ministry of Coal made another special request of the MoEF: that CIL be allowed to not conduct public hearings at all in mines where it wanted to increase production capacity by up to 50 per cent.68

The company emphasized that the “responsibility of enhancement of coal production has been given to CIL to meet country’s coal demand”. In any case, it said, public hearings were not necessary because there was "no additional component in the public hearing…and all the issues of Public Hearing remain addressed.”

An MOEF expert committee correctly pointed out that any increase in production would adversely impact local communities, and refused to grant blanket permission for expanding mine production without conducting public hearings. However CIL’s request itself speaks volumes of the company’s attitude to consulting communities.

The company’s apparently casual dismissal of communities’ human rights and environmental concerns as having been already addressed is emblematic of the approach taken by both its subsidiaries and government authorities, which appear to regard consultation as an irritant - to be dealt with perfunctorily at best, and completely ignored at worst.

"We are thankful that you have finally given us an opportunity to speak.” Surajjiya, an Adivasi leader speaking at a public hearing for the four-fold expansion of the Kusmunda mine. The previous expansion was exempted from conducting a public hearing, February 2015. © Amnesty International India

68. Ministry of Environment, Forests and Climate Change, Minutes of the 41st Meeting of the Expert Appraisal Committee (Thermal and Coal Projects), 15 and 16 July 2015, p.33. at http://environmentclearance.nic.in/writereaddata/Form-1A/Minutes/0_0_8117122612171MOMof39thEAC_Coalheldon16th-17thJuly2015.pdf
3. HUMAN RIGHTS VIOLATIONS IN COAL INDIA'S MINES

KUSMUNDA MINE

Nirabai, a Kawar adivasi woman from the village of Padaniya which was acquired by SECL in 2009, Padaniya village, April 2014. © Amnesty International India
KORBA,
CHHATTISGARH

Operated by South Eastern Coalfields Limited

Current expansion: 18.75 to 26 mtpa. Plans to further expand capacity to 62.5 mtpa.

Over a third of the residents in the affected villages of Padaniya, Pali, Barkuta, Sonpuri, Khodri, Churail, Amgaon, Risdi and Khairbhawna, mostly women, are not formally literate.

40% of Korba’s population are members of Scheduled Tribes.

The Kusmunda mine has undergone three expansions since 2009. The rights of communities to consultation and consent were violated during each of these expansions.

Kawar, Gond, Agaria, Rathia, Binjhwar.

2382 hectares. Plans to acquire an additional 1127 hectares of land.

397 hectares

9250 families from 17 villages

Korba was ranked the 5th most polluted industrial cluster in 2009-2010 by the Central Pollution Control Board.

Vulnerable communities that are affected: How much land has been acquired: How much forest land destroyed: At risk of displacement: Pollution:

Satellite map of Kusmunda Open Cast Mine.

Source: Google Earth

The Kusmunda mine has undergone three expansions since 2009. The rights of communities to consultation and consent were violated during each of these expansions.
KUSMUNDA, CHHATTISGARH

Kusmunda is one of India’s largest coal mines. Operated by South Eastern Coalfields Limited (SECL), CIL’s biggest subsidiary, it is located in the district of Korba in Chhattisgarh – one of the most critically polluted areas in India - along with four other mines: Gevra, Dipka, Kusmunda and Manikpur.69 Korba, which is about 200 kilometres away from the state capital Raipur, is also host to over a dozen coal-fired power plants and several existing and proposed public and private coal mines.

Chhattisgarh has the highest proportion of Scheduled Tribes of any state in India (30.6 per cent).70 Those affected by the Kusmunda mine include members of the Kawar, Gond, Rathia, Agaria and Binjhwar Adivasi communities, who are all recognised officially as Scheduled Tribes. Traditionally agrarian and dependent on the land and forest for their livelihood, these communities have lived next to the Kusmunda mine for decades.

Land acquisition for the Kusmunda mine under the CBA Act began in 1977.71 The government acquired land in seven villages - Durpa, Dullarpur, Barkuta, Jarajhel, Khamariya, Barpali and Barampur. SECL began mining two years later in 1979.

In 2005, faced with signs of a significant shortage of coal required to meet energy needs, the government drew up an “emergency coal production plan” to boost coal output. The Kusmunda mine was among 16 mines the government identified to increase production.72 SECL increased production capacity at the mine from 10 mtpa to 15 mtpa in 2009, to 18.75 mtpa in 2014 and 26 mtpa in early 2016. SECL is seeking to expand capacity to a staggering 62.5 mtpa, which will require over 1100 hectares to be acquired. CIL plans to invest 76 billion INR (1.1b USD) into its continued expansion, which will make Kusmunda one of the largest coal mines in the world.73 An estimated 9250 families in 17 villages will eventually be displaced by the mine if the expansion goes through.74

This section examines violations of the rights to consultation and consent which took place during each of the expansions.

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69. Korba is one of 24 ‘problem areas’ identified for ‘priority action’ by the Central Pollution Control Board. See Central Pollution Control Board, “Frequently Asked Questions”, at http://www.cpcb.nic.in/faq2.php
70. Ministry of Tribal Affairs, “State-Wise Tribal Population in India”, at http://tribal.nic.in/content/statewisetribalpopulationpercentageinindiascheduletribes.aspx
10-15 MTPA EXPANSION WITHOUT CONSULTATION IN 2009

In 2007, SECL sought permission from the Ministry of Environment and Forests to increase the production capacity of the mine from 10 mtpa to 15 mtpa, and the mine area from 1673 hectares to 2536 hectares, including 235 hectares of forest land. The expansion was estimated to affect 1064 families.75

EIA HEARING

Accordingly, as part of the environment clearance process for the expansion, the Chhattisgarh Environment Conservation Board (CECB) called for a public hearing on 27 August 2008. The five villages that stood to be affected were Padaniya, Pali, Barkuta, Sonpuri and Jataraj. Around 3000 people live in these villages. Over a third of the residents, mostly women, are not formally literate.76

As required under the EIA notification of 2006, the CECB published a notice about the hearing in a local newspaper, and provided a copy of the EIA report to the head of the village council.77 However, as far as Amnesty International India could discover, no other efforts were made to publicize the hearings. Over 70 people from Padaniya, Pali, Barkuta, Sonpuri and Jataraj told Amnesty International India in 2014 that they were not aware that a public hearing was called, or took place, in 2008.

They said that local government authorities and the regional Chhattisgarh Environment Conservation Board had not advertised the hearing in any way apart from publishing notices in newspapers.

The hearing was conducted near a sports stadium in Kusmunda, about six kilometres from the affected villages, which made it difficult for people from poorer sections of communities to attend, even if they had known about it, because they would have had to pay for their own transportation, as there is no regular public transport in the area. According to government records, 117 local people attended the hearing.78

Some local residents said they had heard about the hearing from activists who had seen the newspaper notice, and went on to attend. However, they told Amnesty International that many of their concerns, including concerns about rehabilitation and resettlement and the impact of mining on agricultural land, had been dismissed by CECB authorities as being irrelevant.79

An official record of the meeting suggests that many of the issues raised appear to have been met with minimalist responses which did not address the concerns of local people. For example, one issue raised was: “In R&R (rehabilitation and resettlement) villages, land oustee villagers should be provided basic amenities”. SECL’s reply, as presented in the record, was “Basic amenities are provided”.80

To another concern that “agricultural land would be affected in the nearby area of the mine due to its expansion”, the response was: “No such complaint has been received so far.” A grievance about explosives near the mine causing cracks inside houses was answered with: “Controlled blasting is practiced for coal production as of now and minimum explosives are used which takes care of vibration and its effects that is why the vibration are within limit (sic)”.80

Brajesh Shrivas, a local activist who attended the public hearing, said, “There were more police than people. The 70 or so people who showed up from local villages wanted to raise questions regarding rehabilitation and employment, but these questions were dismissed as not pertaining to the environment. At one point, one of the persons who had raised objections got into a scuffle with the police, and was dragged away. In that kind of atmosphere, how could we raise our objections?”81

The CECB submitted a record of the hearing to the MoEF’s Expert Appraisal Committee, which is supposed to submit it to detailed scrutiny. However, in the MoEF’s letter granting environment clearance for the expansion in June 2009, the only mention made of the public hearing is: “Public hearing was conducted on 28.08.2008”.82 The letter did not go into any more detail about the issues raised during the public hearing.
To facilitate the expansion, in June 2009,83 the Ministry of Coal declared its intention to acquire land under the Coal Bearing Areas Act in four villages - Risdi, Sonpuri, Pali and Padaniya - followed by the village of Jatraj in 2010, in the official government gazette and in a notice in a newspaper. Over 3600 people live in these villages. Over a third of all residents in each of the villages are not formally literate.84 None of the affected families that Amnesty International India spoke to said they had been directly informed about the government’s intention to acquire land. Some found out that their land may be acquired only through word of mouth months or even years later - usually when they tried to sell their lands. Local activists told Amnesty International India that they eventually managed to obtain a copy of the notification through a Right to Information application.

Mahendra Singh Kawar, 45, a Kawar Adivasi man from the village of Padaniya, told Amnesty International India in April 2014: “We did not receive any notice about our land being acquired. We only heard recently that SECL now owns all our land…. We have had no discussion with SECL in our village. The land value is increasing in our villages, but we don’t know how much it is worth or when we will receive any compensation.”85

“To SECL, we say, leave us alone, we are fine in this condition as we are. Please look for land elsewhere.”

Nirabai, a 42-year old Adivasi woman from the same village, said: “The Collector announced in a place near the school two years ago that this an SECL affected area. They said that we would be compensated for our land being acquired. But we have still not received any official notice about our land or when we will get our compensation.”86

In March 2010, the Ministry of Coal announced that it had acquired over 752 hectares of land in this phase for SECL.87 The PESA Act requires gram sabhas to be consulted before land is acquired in Scheduled Areas, and before people affected by projects are resettled or rehabilitated. However SECL claims that land acquisition for CIL subsidiaries only has to follow the CBA Act, which does not require any form of consultation.

In March 2013, Brajesh Shrivas, a lawyer from Pali village, filed a Right to Information application asking for details about the project’s compliance with the PESA Act. SECL responded that in cases of land acquisition under the Coal Bearing Areas Act, the “PESA Act is not applicable”.88

In September 2014, Brajesh Shrivas filed a petition before the High Court of Chhattisgarh arguing that the land acquisition under the CBA Act in 2009 had breached the requirements for consultation under the PESA. The petition states that the PESA Act of 1996, being a special law, should prevail over the CBA of 1957, an older and more general law.89 The petition is pending in court.90

77. Interview with Brajesh Shrivas, 19 April 2014, Pali village.
81. Interview with Brajesh Shrivas, 19 April 2014, Pali village.
83. Gazette of India, 20 June 2009, on file with Amnesty International India.
85. Interview with Brajesh Shrivas, 19 April 2014, Pali village.
86. Interview with Nirabai Kawar, 25 April 2014, Padaniya village.
87. Gazette of India, S.O. 861 Ministry of Coal, 29 March 2010, on file with Amnesty International India.
88. South Eastern Coalfields Limited, Letter from Staff Authority, Kusumunda Area in response to a Right to Information request filed by Brajesh Shrivas on 7 April 2013, 16 April 2013. Available on file with Amnesty International India.
89. Chhattisgarh High Court, Brajesh Shrivas and Ors vs. Union of India, Writ Petition (Civil) Number 1779 of 2014. Copy of petition on file with Amnesty International India.
EXPANSION WITHOUT CONSULTATION IN 2014

In December 2012, the Ministry of Environment allowed coal mines to expand their production by up to 25 per cent without a public hearing, if they were expanding within the existing land leased to them.91 In September 2013, SECL applied to the Ministry to expand the Kusmunda mine again, this time from 15 mtpa to 18.75 mtpa, without acquiring any further land. They received the clearance in a few months, in February 2014.92

In 2008-09, SECL had produced coal beyond its mandated capacity in the Kusmunda mine, in violation of India’s Air Act, Water Act and Environment Protection Act. The Chhattisgarh Environment Conservation Board had filed a case against SECL, and this fact was noted by the MoEF committee in 2014 when considering SECL’s proposal for expansion to 18.75 mtpa. This did not disqualify SECL from expanding production, though. All the company had to do was have a formal resolution filed by its Board stating that the violations would not be repeated. SECL complied, and the clearance was given, “subject to the outcome of the (pending) case.”

“These cases often get stuck for years in district courts, while proponents continue to expand their production,” says Ritwick Dutta, a prominent environmental lawyer. “Allegations of serious environmental violations are dismissed as mere formalities. As long as a case has been filed, their job is done.”93

The MoEF again noted that a forest clearance had not yet been obtained for mining on the 235 hectares of forest land in the mine area.
In granting the clearance, the Ministry of Environment made a passing reference to the fact that a public hearing had been conducted in 2008, but did not go any further. The EIA for the expansion mentioned a range of potential environmental impacts from the expansion, including air and noise pollution and contamination of land and water. However, the MoEF’s December 2012 notification meant that a public hearing did not have to be conducted to inform or consult communities about the expansion.

The Regional Officer of the Chhattisgarh Environment Conservation Board confirmed to Amnesty International India in April 2014 that the Board had not held a public consultation on the environmental or social impacts of the mine expansion. The impacts of the expansion were never discussed with local communities.

“This entire place was a jungle, deer used to walk here. All of this changed after they found coal here and started to mine and destroy the forest,” said Ramadhar Shrivas from the village of Pali.

In June 2009, the MoEF - while granting environment clearance to the Kusmunda mine to expand production capacity from 10 mtpa to 15 mtpa - had noted that the project did not yet have a forest clearance for mining on 235 hectares of forest land. (The Ministry repeated this observation in 2014.) In July 2009, the MoEF issued an order stating that gram sabha consent to forests being ‘diverted’ for industry was mandatory before the Ministry could grant a forest clearance. State governments were made responsible for obtaining certificates from gram sabhas declaring their consent.

However, instead of the state government initiating the process of seeking gram sabha consent in Kusmunda, SECL wrote directly to the head of the Pali village panchayat in May 2011 and again in February 2012, asking her to conduct gram sabhas for diversion of forest land for the mine. SECL requested that the gram sabhas give their permission for the diversion, so that there was no delay in the mining of coal in the ‘national interest’ of the country.

The villagers did not agree. In a subsequent gram sabha conducted on 29 December 2013, villagers opposed the expansion, instead demanding that rehabilitation and compensation be given to people from Barkuta village, who had received eviction notices (see box on forced evictions in Barkuta).


93. Interview with Ritwick Dutta on 9 February 2016 over the phone.


95. Interview with the Regional Officer, Chhattisgarh Environment Conservation Board, April 2014, Korba city.


99. Copy of relevant pages of gram sabha meeting minutes on file with Amnesty International India.
PROPOSED FOUR-FOLD EXPANSION

In June 2014, just four months after the approval of the previous expansion, SECL applied again for an expansion—the biggest one yet. SECL proposed to expand production at the mine almost four-fold, from 18.75 mtpa to 62.5 mtpa. This expansion would require the acquisition of over 1127 hectares of land in the villages of Khodri, Khairbawna, Amgaon, Churail and Gevra, displace thousands of families, and make Kusmunda the largest coal mine in India.

EIA HEARING

As part of the environment clearance process for the expansion, the Chhattisgarh Environment Conservation Board (CECB) called for a public hearing on the expansion on 11 February 2015 in Korba.

The CECB is required to give due notice of the public hearing and advertise it widely (including by publishing newspaper notices and, where newspapers are not available, through radio/TV advertisements), and seek written responses from persons with a stake in the project’s environmental aspects. Prior to the public hearing, the CECB and district authorities are required to publicise the project and the hearing and give local communities access to the draft and summary EIA reports.

The CECB did not respond to questions from Amnesty International India about whether they had taken any other steps to publicise the project and the hearing and give local communities access to the draft and summary EIA reports. People at the meeting said that they had not found out about the public hearing through a loudspeaker announcement that morning.

“SECL should present this before the public and first discuss the impacts with us, point by point, before holding this kind of a hearing. How do we know what concerns to raise?” asked Ramesh Kumar Rathore, a lawyer from Gevra. The draft Environmental Impact Assessment Report contained technical information which communities said was not explained to them.

The summary EIA report did not mention details about the Adivasi communities who could be affected by the expansion, and contained no details on impacts on health, data on health monitoring, and how damage from past evictions, pollution and poor waste management would be remediated. It also did not contain a cumulative impact assessment of pollution and impacts resulting from mining activities in the three large mines located close to each other in the vicinity: Gevra, Dipka and Kusmunda.

Laxmi Chauhan, a local environmental activist, said, “The EIA report prepared provides no information regarding the rehabilitation and resettlement of families living in Khodri, Churail, Khairbawna or Amgaon.” He has filed a case in India’s National Green Tribunal challenging the expansion of the Kusmunda mine.

According to MoEF regulations, public hearings must either be held at or near project sites. However, the public hearing on 11 February was conducted inside an SECL-owned sports stadium in Kusmunda, located between 5 and 12 kilometres away from many of the 17 affected villages. Residents of Khodri, Pali, Khairbawna and Amgaon villages requested a change in venue to facilitate access for communities and open expression of opinions. However, district and state pollution control board authorities at the hearing said they had no power to take a decision on the venue and were only there to listen to people’s views.

SECL’s representative stated at the hearing that the stadium was chosen as a venue as it was close to Gevra, which had the most number of affected families. A large number of security force personnel were present at the hearing, which locals and activists told Amnesty International India intimidated them from raising their concerns.

At the hearing, which was attended by Amnesty International India, SECL officials spent only a few minutes explaining the impact of the project. Authorities from the CECB and district administration did not clarify whether affected communities would be eligible for compensation and rehabilitation under the Right to Fair Compensation and Transparency in Land Acquisition Act, 2013, which has recently been made applicable to cases of land acquired for coal mining by the state by an executive ordinance.

Many people at the hearing berated officials for the pollution and environmental degradation they said they had to deal with,
due to the existing mining activity. Concerns were raised regarding rehabilitation and resettlement, compensation and employment, the impact of the mine on air quality, groundwater levels and agricultural activities, and the lack of information about land acquisition.

Mahesh Mahant, a resident of Khodri village, told Amnesty International India, “We’ve lived next to this mine for almost 30 years, and watched our wells go dry, forests disappear and fields become unproductive. What is the point of this environmental public hearing, except to tell us that we’re not fit to live here anymore?”

Of 38 people who spoke at the public hearing, only one spoke in favour of the expansion. He was a CIL employee.

Subsequently, in July 2015, the Expert Appraisal Committee of the MoEF stated that no expansion beyond the sanctioned capacity would be permitted, “pending a policy decision in respect of violation of Environmental Laws, duly endorsed by the Court of Law and also till the infrastructure proposed is put in place”. (The Committee was referring to the case pending in court regarding SECL having produced coal beyond its mandated capacity in 2008-09, in violation of the Air Act, Water Act and Environment Protection Act.)

SECL subsequently wrote to the MoEF eleven times in seven months about the environment clearance: on 18 August, 31 August, 5 October, 8 October, 19 October, 16 November, 1 December, 16 December, 23 December, 7 January 2016 and 29 January.

On 3 February 2016, the Ministry of Environment reversed its earlier position. Although the conditions it had laid out in July 2015 - which spoke of policy decisions endorsed by a court of law - had not been met, the Committee granted environmental clearance to SECL to expand capacity at the Kusmunda mine to 26 mtpa.

The various concerns raised at the public hearing in February 2015 appear to have been given short shrift. The clearance perfactorily referred to the fact that a public hearing had been held and listed the concerns raised, but did not discuss them any further. In April 2016, Amnesty International India asked the Ministry to explain the reasons behind its decision. No response was received. Laxmi Chauhan’s case in the National Green Tribunal challenging the expansion is ongoing.


105. Interview with Ramesh Kumar Rathore, 9 February 2015, Khodri village.


108. Letters and resolutions by village councils, available on file with Amnesty International India.


110. Interview with Mahesh Mahant, 9 February 2015, Khodri village.


113. Interview with Mahesh Mahant, 9 February 2015, Khodri village.


CBA CONSULTATION

The expansion of the mine also involves additional acquisition of land in the same villages. According to the project EIA, the expansion of the mine to 62.5 mtpa would involve the acquisition of additional land in the five villages of Amgaon, Churail, Khodri, Khairbawna and Gevra. Over 13,000 people live in these villages.

On 24 May 2014, the Ministry of Coal published a notification under the CBA Act in the official government gazette about its intention to prospect for coal in these villages (the step prior to acquiring land). A village council leader told Amnesty International India that they had only heard about this notification through word of mouth, and this notice had not been publicised. They objected to this in written complaints to SECL's headquarters and the Coal Controller's office in Kolkata but said they received no response.

Five months later, on 20 July, the Ministry of Coal published another notification in the official government gazette declaring its intention to acquire 1051 hectares of land, including the entire villages of Amgaon, Churail, Khodri, and Khairbawna and part of Gevra. The notification stated that nearly 3300 plots of land would be acquired. It did not mention the impact on landless labourers.

The government invited objections to be submitted within 30 days by those who were entitled to claim compensation if the land was acquired. Adivasi communities in the five affected villages who stood to lose their homes and agricultural fields said they had not received any information about the rehabilitation and resettlement they would be entitled to.

In a focus group discussion with over 80 affected people in these villages in February 2015, many villagers said that they had little idea about what land acquisition in the area would involve, or what they could do.

Vidya Vinod Mahant from Amgaon village said, “The acquisition notice was pasted on the wall of the office of the panchayat (village council). How do we object to this?” Ramesh Mahant from Khodri village, said, “There is a law that says we are supposed to get four times the value of our land (a reference to the 2013 Land Acquisition Act). If this is being applied for private companies, is it not applicable to SECL?”

The Ministry of Coal has not yet issued any notification about whether the acquisition of land in the five affected villages has been completed.

PESA AND FRA GRAM SABHAS

No gram sabhas under the PESA Act have been conducted in the affected villages as part of the expansion.

In March 2014, the state revenue department wrote to the District Collector of Korba, emphasizing that the PESA had to be followed in cases of land acquisition in Scheduled Areas. However many members of Adivasi communities in the villages of Pali, Padaniya, Sonpuri, Khodri, Jatraj, Amgaon, Gevra and Barpali confirmed to Amnesty International India that no gram sabhas had been conducted on the expansion to 62.5 mtpa (or for the earlier phases of expansion as well).

Interviews with local officials, and SECL's own stand in official records, show that the company does not think it is required to consult communities under the PESA Act.

Proof of this lies in SECL's formal reply to a committee under the MoEF, when asked to respond to issues raised in the February 2015 public hearing. One of the concerns raised was: “As per Schedule 5, PESA Act and FRA is applicable. Under PESA Act, Gram Sabhas should be consulted but no such Gram Sabhas had been arranged by SECL Management.”

SECL's response was: “PESA act is not applicable for the land acquired under CBA Act (A&D), 1957.” (SECL has also taken this position officially in court).

Under the FRA, the consent of Adivasi communities must be obtained before forest land can be diverted for industry.

On 13 January 2016, the office of SECL's General Manager in Kusumunda wrote to the District Collector of Korba, stating that gram sabha resolutions for the diversion of 142 hectares of forest land in the villages of Sonpuri, Pali, Padaniya and Khodri were pending.

Subsequently, on 8 February 2016, the Block Development Officer of Kathora issued a notice for the conduct of three separate gram sabhas on 16 February to seek gram sabha consent for diversion of forest land for the expansion of the Kusumunda mine. The three gram sabhas were to be held in Pali (covering both Pali and Sonpuri), Padaniya, and Khodri villages.

Government officials claim that three gram sabhas were accordingly conducted on 16 February. However village council heads, activists, media persons and local villagers told Amnesty International India that the three gram sabhas did not meet important requirements, and two of them were invalid. The Ministry of Tribal Affairs has said that gram sabha decisions on consenting to diversion of forest land require a quorum of at least 50 per cent. It has also clarified that the procedure of seeking consent must involve the provision of information, and gram sabha meetings have to be recorded on video.

Villagers in Pali, including the head of the village council and her son who was present at the hearing, said that the gram sabha in Pali had only 42 attendees, when the quorum should have been about 400 each for Pali and Sonpuri. They said that many villagers did not know of the gram sabha, and some who knew chose not to attend because they were opposed to the diversion of the forest land. Eight villagers who attended the gram sabha said that it had not been recorded on video and that they had not received any details about how the diversion of the forest land would affect them.

Activists, media persons and six villagers from Padaniya, including the head of the village council, told Amnesty International India that the gram sabha in Padaniya had been called off following opposition from the villagers who had attended, and nobody had consented to the diversion of forest land. The gram sabha had not been recorded on video.

In Khodri village, the gram sabha recorded its consent to the diversion of forest land. However, the head of the village council and her husband, who attended the gram sabha, said that it had not been recorded on video.
When Amnesty International India spoke to the Deputy Collector of Korba, he said that all three gram sabhas had been conducted successfully and that people who attended them had given their consent to the diversion of forest land. However he said that he did not have any copies of the gram sabha resolutions. The Korba Sub-Divisional Magistrate, who attended all three gram sabhas, claimed to not know the outcome of the meetings. He said that local authorities did not have adequate financial resources to record all gram sabhas on video.

The Ministry of Tribal Affairs had clarified in 2013 that the Forest Rights Act applied to municipal areas as well, and ‘mohalla sabhas’ (neighbourhood assemblies) would play the role that gram sabhas played in initiating, considering and approving the process of recognizing forest rights under the Act. On 8 February 2016, the Municipal Corporation of Korba wrote to the Korba District Collector, stating that it was giving its consent under the Forest Rights Act to divert 304 hectares of forest land in eight villages, which fell within the municipality, for the expansion of the mine.

However, an official at the Korba Municipal Corporation and two different ward councillors told Amnesty International India that the Corporation had not consulted neighborhood assemblies and sought their consent for the use of forest land that fell within the municipality.

SECL has still not obtained a forest clearance for the expansion or for any part of the mine on which it has diverted forest land.

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118. Ministry of Coal, S.O. 1524, Gazette of India, part II, Section 3, Sub-section (ii), 24 May 2014, available on file with Amnesty International India.
120. Ministry of Coal, Gazette of India, part II, Section 3, Sub-section (ii), 20 July 2015, at http://www.egazette.nic.in/WriteReadData/2015/165111.pdf
121. Ministry of Coal, Notification under Section 4(1) of the Coal Bearing Areas Act 1957, 13 May 2014, available on file with Amnesty International India.
122. Interview with Vidya Vinod Mahant, 9 February 2015, Khodri village.
123. Government of Chhattisgarh, Letter from KC Varma, Under-Secretary, Revenue and Disaster Management Department to District Collector Korba, 28 March 2014, available on file with Amnesty International India.
126. Letter issued by the Block Development Officer, Katghora to the Chief Executive Officer, District Panchayat Office, 8 February 2016, available on file with Amnesty International India.
129. Interviews with residents, 15 and 17 March 2016, Pali village.
130. Interviews with residents, 15 and 17 March 2016, Padaniya village.
131. Interview with the village council head and other residents, 17 March 2016, Khodri village.
132. Interview with Deputy Collector, Korba, 18 March 2016, Korba.
133. Interview with Sub-Divisional magistrate, 17 March 2016, Katghora block office.
FORCED EVICTIONS IN BARKUTA

Barkuta is a village on the northern edge of the Kusmunda mine. The central government acquired land here in 1979 and transferred the rights over its usage to SECL. Even after the acquisition, though, the government did not actively seek to use the land for several years, or seek to evict the families.

SECL began to pay compensation to families well over a decade and a half later- in 1994 for their houses and other assets- and in 1996 for their land. Residents said that SECL had promised each affected family a job. Irawar Singh, an 87 year-old Kawar Adivasi man, said, “SECL officials, the patwari (land record officer), and the Sub-Divisional Magistrate came here in 1994 and said that everyone would receive jobs.” 136 Avdhabai, a 40-year-old Kawar Adivasi woman, said, “SECL officials told us in 1994 that five people would receive jobs from our family.” 137

The compensation formally consisted of a one-time lump sum cash payment, a plot of land in a rehabilitation colony 6 kilometres away, and employment at SECL for one male member of certain eligible families, under the provisions of the then-Madhya Pradesh Rehabilitation and Resettlement Policy. 138

Many families in Barkuta left for other villages or the rehabilitation colony. But over 35 households stayed. Some were still fighting to obtain the jobs they said SECL had promised them. Nirupabai, an Adivasi woman from Barkuta, said she had travelled to the SECL headquarters on several occasions seeking employment, but to no avail. “Daughters should donate their land, this is what I was told,” she said. 139

SECL has acknowledged that it does not offer jobs to women from families displaced by the Kusmunda mine. As explanation, it has said: “Employment is provided to project affected persons as per vacancy exist in the company. Presently major vacancy in the company is in underground mines, as per Mines Act, 1952. Women cannot be deployed in underground mines. Hence no employment is being given to women [project affected persons].” 140 141

Shivram Singh, a 42-year-old Kawar adivasi man, told Amnesty International India, “If we had got our jobs, we would have shifted to the rehabilitation colony in Vaishali Nagar. But until then, we have to live here, close to our fields.” 142

Kamlabai, the head of the Pali village council, said, “The jobs that people in Barkuta were promised 20 years ago by state and SECL officials, they have still not got. These are not paltry figures of land- this is for 5, 10, 15 acres of productive land, on which we get a return every year. What is the kind of future that SECL can assure us of? And how do they expect us to be content?”

Others in Barkuta stayed because they were unhappy with the conditions in the rehabilitation colony. Since the rehabilitation colonies fall under land acquired under the Coal Bearing Areas Act, the rehabilitated families receive no formal titles or proofs of residence, and are unable to buy or sell their plots, or use them as collateral for loans or as proof of residence for official purposes. The rehabilitation plots do not include agricultural fields.

However those who stayed in Barkuta could also not lease or sell their land there, since it had been acquired by SECL. Vishnu Prasad Tiwari, a Dalit man, said, “We are poor people, for us land is everything. Before, if we needed to send our children to college, get them married, we could sell a piece of our land. But since 1979, everything is acquired. Even the rehabilitation colony in Khamariya is acquired. What do we do in case of an emergency? How do we say this land is ours?”

According to SECL, 187 families lost their land during the acquisition. The company gave 45 people jobs, and five people cash compensation in lieu of employment. 143 The company claims that Barkuta “has already been rehabilitated”. 144

On 21 March 2013, the Deputy Collector of Korba – a senior official in the district administration – wrote to the families still living in Barkuta, asking them to demolish their homes within five days, by 26 March, in the ‘national interest’. 145 The letter offered villagers a ‘final opportunity’ to present any objections they had at a hearing at the district office in Korba on 26 March. The villagers met the official the next day and asked for their lands not to be acquired until they were fully rehabilitated and given jobs that they were promised by SECL. According to six villagers who were in the meeting with the officials, and who later spoke to Amnesty International India, they did not receive any assurances that their demands would be met.

In early July 2013, another authority - the block development officer - issued a notice and invited villagers to present their views on the evictions. Nirupabai, who attended the meeting along with thirty other residents of Barkuta, said, “We asked for more time, since it was the monsoon and our crops were being sown. But we also told them that we did not want to move until we were fully rehabilitated.” 146 The evictions did not take place.

Later in July, the residents of Barkuta wrote to the magistrate, saying that their water and electricity connections had been disconnected for the last five months by SECL management as, they believed, a tactic to force them out of their homes. 147 “They think that by making us uncomfortable, we will run away from the village and give up our claims for employment, for which we have been running around in circles for the last 16-17 years. Because of this action, we don’t have water to wash, to drink, to cook and are facing infinite difficulties as a result,” said the letter. They received no response to their complaint.

On 20 December 2013, the General Manager, Kusmunda Area, SECL issued a notice to the residents of Barkuta telling them to demolish their homes within seven days. 148 The notice referred to the letters written in March and July, and said that compensation and rehabilitation for the land acquisition had already taken place, and previous requests for postponement of evictions had been granted. However it did not include any information about the date or time during which evictions would be carried out.

Two months passed, and then, without any warning, on about 9.30 am on February 2014, the bulldozers arrived.

29-year-old Radhabai Kawar, an Adivasi woman, told Amnesty International India: “I was inside my house, when I heard an announcement on a loudspeaker. They had a bulldozer, and were asking people to immediately get out of their homes. Then
they started tearing down the houses.”149

According to the villagers, police personnel and senior district administration officials, including the Sub-divisional Magistrate, were present at the evictions.

Nirupabai, a Kawar adivasi woman from Barkuta said, “Many of us had left for work. When I heard that they had started to demolish the houses, I ran back home. But by the time I arrived, my house had been destroyed. I had 100 quintals of grain, corn, clothes, and vessels inside. I tried to gather my belongings, but the police made me sit inside their van.”150

Over the day, authorities demolished 17 houses and a school in Barkuta. Eleven people who protested against the evictions were rounded up into a police van. They were released later in the evening, after the demolition had ended. No charges were filed.

40-year old Avdhabai, another Adivasi woman, said: “My daughter-in-law and I were both at home. They didn’t give us any time to remove our belongings and broke down our house.”

Swaraj Bai, an Adivasi woman, said, “The police pulled us out of our homes, without giving us time to move our belongings. Pulses, rice, everything was destroyed.”151

No legal remedies were made available to those who were evicted. Several families lived with relations in neighbouring villages, while others rebuilt their shelters from rubble and materials from their own homes.

“Our belongings were crushed inside our homes,” said Nirupabai. “We had to buy everything again. Neither the police nor the company officials came by to ask us how we were living.”152 Nirupabai now lives in the village of Pali.

At least four families from Barkuta that Amnesty International spoke to in April 2014 had moved to the neighbouring village of Padaniya after the evictions. Many were building their homes from bricks that they were forging themselves, in kilns fuelled by coal scavenged from the Kusmunda mine. When Amnesty International India last visited Barkuta in June 2016, there were still a few families living in the village. Most other families had shifted to Pali, Sonpuri and Padaniya, which SECL has said are next in line to be evacuated for the mine’s expansion in 2017-18.

The evictions in Barkuta, which were carried out without adequate notice and a near-total absence of proper consultation, amount to forced evictions, which are prohibited under international law. The families living in Barkuta were not meaningfully consulted about their evictions, compensation or resettlement. They were also not given adequate notice about the demolition of houses, and few had enough time to salvage their belongings.

Thousands of other people in villages near Kusmunda have also not been meaningfully consulted on the acquisition of their land. Their rights as Indigenous peoples to free, prior and informed consent before any kind of relocation from their land continue to be at risk.

137. Interview with Avdhabai, 20 April 2014, Barkuta village.
138. Compensation records available on file with Amnesty International India.
139. Interview with Nirupabai, 19 April 2014, Barkuta village.
141. See p.48 for more details on CIL’s employment policies towards women displaced by its mines.
145. Letter from the Collector to the District Magistrate, Korba, Chhattisgarh, Letter from the Deputy Collector to Barkuta resident, 21 March 2013, available on file with Amnesty International India.
146. Interview with Nirupabai, 19 April 2014, Barkuta village.
147. Letter from Barkuta residents to the Sub-divisional Magistrate, July 2013, available on file with Amnesty International India.
148. Notice from the General Manager’s Office, Kusmunda Area, SECL, to Barkuta resident, 20 December 2013, available on file with Amnesty International India.
149. Interview with Radhabai Kawar, 20 April 2014, Barkuta village.
150. Letter from Nirupabai to the District Collector of Korba, 15 February 2014, available on file with Amnesty International India.
151. Interview with Avdhabai, 20 April 2014, Barkuta village.
152. Interview with Nirupabai, 19 April 2014, Barkuta village.
ENVIRONMENTAL IMPACT ASSESSMENTS

Authorities are not required to carry out, and have not conducted, any sort of meaningful human rights impact assessment at any stage of expansion of the Kusmunda mine.

The Adivasi communities who are likely to be displaced by the expansion are not named in the EIA reports for the two expansions and there is no detailed disaggregation of data that looks at gender and caste-based impacts, as well as impacts on the landless and those without titles.153 There is no reference to the fact that the mine exists in a constitutionally-protected Fifth Schedule district.

Every person interviewed in the villages of Barkuta, Pali, Padaniya, Barpali or Padaniya said they were neither consulted nor interviewed as part of the impact assessment process. The EIA also does not analyze the ways in which these communities currently use water, wood and other natural resources, or how they grow crops and their traditional land usage, and how these could be affected by the mining project.

It does not consider the damage that is likely to be caused to traditional and existing livelihoods and the impact any such effects could have on the culture of the Adivasi communities. It also fails to analyse or consider the impact of the influx of outsiders and machinery from the existing mine or its proposed expansion, or other arrangements that will accompany the mining, on communities living close to the mine site.

An independent evaluation of the EIA by the Environmental Law Alliance Worldwide – an alliance of scientists, lawyers and activists – found it deficient in several respects, including details of resettlement and rehabilitation.154

The study states that the EIA omitted discussion of how average pollutant levels in the study area exceeded long-term air quality standards established by the MoEF, and how daily pollutant levels in the study area exceeded short-term air quality guidelines of the World Health Organisation (WHO).

“If the baseline data were compared to WHO guideline values, ambient air quality would be considered to be very impaired,” it said. The study also highlighted that the EIA had no information with respect to the rehabilitation and resettlement of persons residing in Amgaon, Churail, Khodri, Khairbhawna and Gevra. “The EIA provides no information for persons residing in these villages to ascertain their fate, should the project be granted clearance.”


154. Analysis by eLAW’s Mark Chernaik available on file with Amnesty International India.
CIL’s Rehabilitation Policies

CIL has its own rehabilitation policy, which apply to all its subsidiaries (although they are authorized to modify the policy as appropriate). CIL’s existing policy has been in force since 2012. It states that it intends “to ensure a humane, participatory, informed, consultative and transparent process for land acquisition and allied activities with the least disturbance to the owners of the land and other affected families.”

Consultation, Consent and Social Impact Assessment

CIL’s 2012 rehabilitation and resettlement policy does not include any scope for seeking the free, prior and informed consent of Adivasi communities. The policy includes a provision for the formation of a “Rehabilitation and Resettlement Committee” at the project-level, under the chairmanship of the District Collector, which is responsible for consulting village leaders and NGOs in finalising rehabilitation action plans. One of the requirements is that this Committee “approves the Rehabilitation Plan for the project in consultation with the displaced persons and gram sabhas.”

The policy states that a socio-economic survey must be carried out within two months of the declaration of CIL’s intention to acquire land. But this again does not envisage any consultation on the land acquisition, or towards determining rehabilitation and resettlement, or developing alternatives or mitigation plans.

Employment

The 2012 policy states that CIL may also offer permanent jobs with the company as part of its rehabilitation benefits; many affected families say is the most important benefit of all. CIL can offer one job to families who have lost at least two acres of land. The policy does allow families to consolidate their land-holdings in order to qualify for employment.

There are no provisions for fixed employment to be given to the landless, or those dependent upon forest or traditional lands for their livelihoods. People in these categories will only receive assistance in “non-farm self employment”.

In 2008, a parliamentary standing committee which examined CIL’s 2008 rehabilitation policy had observed that since the provision of jobs was not guaranteed, “the assurance of employment is often found as a lip-service rather than any serious efforts to achieve it”. It recommended that CIL provide employment mandatorily within a reasonable time. However the recommendation was not implemented in the 2012 policy.

Compensation

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Rehabilitation

The policy states that the subsidiary must provide a resettlement site, with infrastructural amenities such as a school, roads with street lights, drains, ponds, dugwells or tubewells to provide drinking water, a community centre and place of worship, along with a dispensary, grazing land and a playground. CIL’s 2008 rehabilitation policy specified the size of the rehabilitation plot that land oustees are entitled to (a 100 sq. m alternate house site). However the 2012 policy provides no specific details on rehabilitation, or whether titles will be granted for these plots of land. It states that planning of community facilities and their construction resettlement sites should be “undertaken in consultation with the affected community.”

158. Section 8 of CIL’s Rehabilitation and Resettlement Policy, 2012.
159. Section 8 of CIL’s Rehabilitation and Resettlement Policy, 2012.
163. Section 8.1 of CIL’s rehabilitation and Resettlement Policy, 2012
165. Section 10 of CIL’s rehabilitation and Resettlement Policy, 2012.
TETARIKHAR MINE

Central Coalfields Limited's Tetariakhar opencast mine and Basiya village that lies above it, July 2014. © Amnesty International India
LATEHAR, JHARKHAND

Operated by Central Coalfields Limited (CCL)

Current expansion - 0.50 to 2.5 mtpa.

45% of Latehar's population are members of Scheduled Tribes.

Over 6400 people live in the affected villages, of whom over half are not formally literate.

THE SCALE OF IMPACT

Vulnerable communities that are affected:

Oraon, Turi, Ganjhu.

How much land has been acquired:

131 hectares. Expansion includes the acquisition of 172 hectares of land.

How much forest land acquired:

Status of 52 hectares of land required for the mine expansion is under dispute.

At risk of displacement:

6400 people

Satellite map of Tetariakhar Open Cast Mine.

Source: Google Earth.

The name of the mine originates from the words in Oraon for jungle fowl (tetaria) and forest (khar). Communities in certain affected villages where land was acquired in 1962 have not yet been compensated.
TETARIKHAH, JHARKHAND

The Tetariakhar mine is located in Latehar district in Jharkhand – the state with India’s largest coal reserves and the second highest proportion of Scheduled Tribes (26 per cent). Latehar is a protected Scheduled Area, about 100 kilometres from the state capital of Ranchi. Over 45 per cent of Latehar’s population are members of Scheduled Tribes. Latehar has been particularly affected in recent years by violence between security forces and Maoist armed groups.

Tetariakhar is one of eight coal blocks in Latehar, and one of its two functional mines. It is operated by Central Coalfields Limited (CCL), one of CIL’s subsidiaries in Jharkhand. Its name originates from the words in the Oraon language for jungle fowl (tetaria) and forest (khar).

The central government first acquired land in five villages in the region under the Coal Bearing Areas Act in October 1962, but mining officially began only in 1992. The Tetariakhar mine lease covers an area of 131 hectares, including parts of the villages of Basiya (which includes the hamlet of Tetariakhar), Nagara, Jala and Pindarkom. The communities in these villages include Oraon Adivasis, who have depended on the forests for generations for food, fuel, medicine and building materials. Over 6400 people live in these villages, of whom over half are not formally literate.

In 2008, CCL wrote to the MoEF asking for permission to expand the capacity of the mine from 0.5 mtpa to 2.5 mtpa. The expansion would require the acquisition of 172 hectares of land.

This section examines how authorities in Jharkhand have since violated requirements for meaningful consultation and consent under domestic and international law and standards.

EIA HEARING

As part of the environment clearance process, the Jharkhand State Pollution Control Board (JSPCB) called for a public hearing on the mine’s expansion on 17 April 2012 in Balumath, about seven kilometres from Basiya and Nagara (the location was suggested by the MoEF, in light of the fact that the area was ‘infested with Naxalite problem’).

The JSPCB published notices of the public hearing in English and Hindi newspapers a month in advance. However, none of these newspapers are available in the villages of Nagara or Basiya, two of the main affected villages, according to residents. EIA regulations state that in areas which newspapers do not reach, pollution control authorities are required to use other means such as ‘beating of drums’ and radio/television advertisements. Over 150 people to whom Amnesty International India spoke, including the village heads in Basiya and Jala, however said that they had seen no publicity about the public hearing and had no prior knowledge about it.

“If it happened that recently, I wouldn’t have forgotten it,” said Saritabai, the head of the Basiya village council. “No, if they had called us for the hearing, then we would have gone for it. We get letters for all other meetings and attend them. We received no letters or documents that you are talking about, and so we didn’t reach there.”

The Member Secretary of the Jharkhand State Pollution Control Board did not appear to know about this particular hearing, but told Amnesty International in an interview that the onus of publicizing any hearing was on CCL (although under the EIA public hearing rules, publicity is the responsibility of the Pollution Control Board and district authorities).
Lala Uraon, Saritabai’s husband, was one of the few who attended the hearing, and only by chance. He said, “We had gone to the block office at Balumath for some other official work and got to hear about the hearing. We reached there after the proceedings began. From what we heard, we had no idea that this was a public hearing on pollution.”

Surendra Dhale, an activist from Latehar, who also attended the hearing, said, “I got to know about it only because someone from the head office of the NGO I work with read the notice in a newspaper in Ranchi. There was not even a banner outside.”

CCL in its EIA report from June 2012 states that 150 people attended the public hearing, and 200 people who attended were from the mine lease area (possibly a typographical error). While the minutes of the public hearing are not publicly available, the EIA report includes a summary of the hearing.

Of these, three were from Nagara and one from Pindarkom. Not a single person who spoke was from Basiya or Jala, according to this record.

One of the speakers was Bihari Prasad Yadav, a former landlord, who told Amnesty International India that he had been personally told to attend the hearing by the CCL officials. He said, “The CCL Project Officer called us 2-3 hours before the meeting. There were only 20-25 people present in total at the hearing.”

The EIA states that the issued raised at the hearing include dust pollution from the mines and from coal transportation, the lack of medical and health facilities, falling water levels, provision of jobs to local people, the lack of a proper road, and a request to not shift the overburden dump into the village of Nagara.

On 2 August 2012, the MoEF’s Expert Appraisal Committee wrote that “most of the Public Hearing issues have not been addressed properly”, and asked CCL to list the issues raised in a table. In November 2012, the Committee noted, “The proponent assured to take necessary action on the issues raised during public hearing.”

This cursory examination seemed to have satisfied the committee. On 7 May 2013, the MoEF granted environment clearance for the expansion. The only mention made of the public hearing was one line, which said: “The Public Hearing was held on 17.04.2012.”

While it is clear that the Expert Appraisal Committee had identified a number of issues, it did not make public its specific concerns. It is therefore difficult to determine if the company actually addressed these issues, and for communities to know whether their concerns have been heard. Amnesty International asked the committee for details on how it considered issues raised by communities at the public hearing. No response was received.

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176. Ministry of Coal, “Coal Reserves”, at http://coal.nic.in/content/coal-reserves


179. Gazette of India, Ministry of Coal, Notification under Section 7(1) of the Coal Bearing Areas Act, October 1962, available on file with Amnesty International India.


182. Ministry of Coal, “Coal Reserves”, at http://coal.nic.in/content/coal-reserves


184. Ministry of Coal, “Coal Reserves”, at http://coal.nic.in/content/coal-reserves


LAND ACQUISITION

On 6 April 2015, the Ministry of Coal published a notification in the official government gazette about its intention to prospect for coal in Nagara and Basiya villages – the first step in acquiring land under the CBA.187 On 18 August 2015, it published another notification declaring its intention to acquire land in 49 hectares in Nagara and 25 hectares in Basiya, and gave interested parties 30 days to file any objections.188

360 families still live in Nagara, and 405 families in Basiya. Although their agricultural lands have already been acquired by the central government in 1962 for the Tetariakhar mine, their homesteads have not. When Amnesty International India met some of these families in October 2015, they said they were unaware of the new CBA notification, and had only heard rumours that more of their land was going to be acquired.

“Can you tell us how much of the land in our village has been acquired under the CBA?” asked Ramchandra Uraon from the village of Jala.189

Gandhu Oraon from Basiya village said, “This is a matter of total arbitrariness, right, if you say that you are taking this land and the public should move... Be it the ACP, DCP or Prime Minister, without our consent, we cannot be removed from here. Whenever they felt like it, they evicted us. Whenever they felt like it they started mining. There is no value for humanity here.”190

The Additional Collector of Latehar claimed that the state government had no role to play in the acquisition. He said, “In CCL cases, the government acquires land under the CBA Act and simply notifies us that this land has been acquired. Our duty is to authenticate that land and to whom this land belongs to. And the CBA is done centrally. We have to only see to the R&R (Rehabilitation and Resettlement).”

CCL has not yet issued any notification about whether the acquisition of land in the five affected villages has been completed.

PESA GRAM SABHA

Jharkhand state authorities have not held any consultations with communities under the PESA Act on their rehabilitation or resettlement.

In an interview with Amnesty International India, the District Development Commissioner of Latehar - the authority governing all panchayats in the district and responsible for implementing the PESA - said that he was not aware that Latehar was a Scheduled Area under the Constitution with special protections for Adivasi communities.191

The Commissioner said, “Jharkhand has not yet notified rules for PESA. The question that remains is whether PESA is part of the Constitution or whether it is not. It has rules that make a Schedule of the Constitution actionable, which makes it very different from an ordinary legislation.”

CCL, like CIL’s other subsidiary SECL,192, appears to believe that consultations with gram sabhas are not required when land is acquired for coal mining by the state. CCL management for the Tetariakhar mine told Amnesty International India in an interview, “PESA is not required under the CBA.”193

Over 40 people in the affected villages told Amnesty International that they had not even heard of the PESA, nor were they aware that gram sabha consultation was required for land acquisition. “If we haven’t heard of the laws, then how can we use them?” asked Kishor Oraon, an Adivasi man, during a focus group discussion in Basiya in July 2014.

FRA GRAM SABHA

The Tetariakhar mine is surrounded by forests, villages, agricultural fields and streams. Communities here have traditionally depended on the forest for generations. “The forest is part of who we are. It is where we collect firewood for the house, mahua, lac and tendu leaves. It is where we graze our livestock and it is where our gods reside,” said Suresh Uraon, 28, an Adivasi resident of Basiya village and the leader of a movement of displaced families.

“There’s everything in the jungle- from firewood to food. There is no life without the jungle, especially for the poor. We depend on it for everything” said Sura Oraon, who lives with her husband and four children in the village of Basiya.194

The Forest Rights Act requires gram sabhas to certify that all forest rights claims have been settled, and to consent to any diversion of forest land for mining, for a project to receive a forest clearance.

However members of local communities told Amnesty International India that no gram sabhas had been conducted in the affected villages on the diversion of forest land for the mine.195

The Divisional Forest Officer, Latehar, and the Circle Officer, Revenue Administration, Balumath block, confirmed this.196

The status of about 52 hectares of land required for the mine expansion is not clear. In July 2012, CCL said that this land was “scrub land & plantation (forest)”. The next month, it told the MoEF expert committee that “the word forest was printed by mistake”, and that the expansion would actually not require...
any forest land.\textsuperscript{197}

The MoEF, in its environment clearance letter in May 2013, refused to permit mining on the 52 hectares since there was a doubt about the status of the land. It directed the State Forest Department to determine whether the land was forest land. No such inspection had been conducted as of October 2015.

CCL continues to maintain that the expansion will not impact forest land. CCL's Chief Manager (Environment) in Ranchi told Amnesty International India, “We have not disturbed the forest at all in Tetariakhar. Do you know the meaning of forest? I’m not talking in terms of the Supreme Court order on forests. I’m talking about forest land in Tetariakhar. So far we have not touched the forest land in Tetariakhar.”\textsuperscript{198} However the official was not aware of the MoEF directions, the 52 hectares of land, or any proposed inspection.

### FOREST RIGHTS CLAIMS

Under the Forest Rights Act, state governments are obligated to settle individual and community claims over forest lands. However virtually no community forest rights (CFRs) have been granted in Latehar.\textsuperscript{199}

In Basiya, Nagara and Pindarkom, over 70 people who Amnesty International spoke to said they were not aware that they could file collective claims over common forest lands and resources. Officials in the state Forest Department and Revenue Department said that no forest rights claims had been filed from any of the three affected villages.

“We’ve never tried to claim our rights. What will we claim when we are told that everything under 3 feet of ground belongs to them (CCL)?” said Pardesi Ram from the village of Pindarkom.\textsuperscript{200}

In Jala, villagers had filed claims for their community forest rights over 456 hectares of forest in October 2011. However, the Sub-divisional Committee on forest rights rejected this claim, recognising only two burial grounds that encompassed less than one acre of land.\textsuperscript{201}

George Monippally, an activist from the Bharat Jan Andolan, a nation-wide collective working to promote forest rights, argued, “Even if communities are in the dark about their rights, their usage and dependencies don’t change or merely go away. Even if no forest rights claims have been filed, the relevant authority must grant a community forest resources title and ensure that rights are claimed and distributed.”\textsuperscript{202}

However government officials continue to be less sympathetic. A Divisional Forest Officer in Latehar’s Forest Department told Amnesty International India “So it is their historic right. Is granting one land title more important to me or is a transmission line that is a State Government project? For local rights, I cannot stop development.”\textsuperscript{203}

“I agree that they are the traditional owners of the land. But can they practice their livelihoods inside a coal block or a power plant? We are doing this for their own safety.”

188. Gazette of India, Ministry of Coal, Notification under Section 7(1) of the Coal Bearing Areas Act, 20 August 2015, at http://www.egazette.nic.in/WriteReadData/2015/165447.pdf
189. Interview with a focus group of 83 villagers, 24 October 2015, Jala village.
190. Interview with a focus group of 71 villagers, 24 October 2015, Basiya village.
191. Interview with District Development Commissioner, Zilla Panchayat, 2 July 2014, Latehar.
192. South Eastern Coalfields Limited, a subsidiary of Coal India Limited, continues to claim that the land acquisition by CIL subsidiaries in Scheduled Areas only has to follow the CBA Act, and not the PESA Act. It has officially taken this position in court in the case of Janatmak Sanstha v. Union of India, decided on 11 November 2014, WPPIL/5/2013, for which an appeal is pending before the Chhattisgarh High Court. See p.32 of the report.
194. Interview with Sura Oraon, 4 July 2014, Basiya village.
195. Interview with District Development Commissioner, Zilla Panchayat, 2 July 2014 Latehar.
196. Interview with Divisional Forest Officer, 5 July 2014, Latehar. interview with Circle Officer, 23 October 2015, Balumath Block.
198. Interview with Chief Manager (Environment), Central Coalfields Limited, 26 October 2015.
199. Forest Rights Records on file with Amnesty International India.
200. Interview with Pardesi Ram, 6 July 2014, Pindarkom village.
203. Interview with former Divisional Forest Officer, 5 July 2014, Latehar.
RIGHTS OVER TRADITIONAL LAND

Communities in the villages surrounding the Tetariakhar mine are also concerned about the fate of common lands called gair mazrua lands. Customary community rights on these lands were recognized before 1950, but following land reforms, these rights were vested in the state government. Members of Adivasi communities told Amnesty International India that several landless labourers have depended on gair mazrua land for their livelihoods for generations, and continue to do so.

The Chotanagpur Tenancy Act - a state law which applies to certain districts in Jharkhand including Latehar - restricts the transfer of land from Adivasis to non-Adivasis. Under the Act, the Deputy Commissioner – a senior-level official in the district administration – has to approve any acquisition of gair mazrua land for mining by the central government. The official must first invite objections from anyone interested in the land and decide on them, before approving the acquisition.

However the central government does not follow this process, and instead uses the Coal Bearing Areas Act to acquire common land without any consultation with communities.

Communities say about 40 hectares of gair mazrua land already acquired by the Central government has not even been used by CCL. Villagers in Nagara and Basiya continue to oppose the taking over of this land, asserting that they have lived off it for decades.

“We have been surviving on this land for generations. CCL, on the other hand tells us that this is gair mazrua land and that no one can stop them from acquiring it”, said Sukhinder Oraon from Basiya, who has agricultural fields right next to the mine.

“This land is ours but, on paper, CCL says that it is theirs. The land belongs to those who depend on it- be them Adivasi or Harijan or Dalit. Not to the ones with the pieces of paper,” said Prabhu, an Adivasi activist from Basiya.

CCL also plans to acquire more common land as part of the expansion. District authorities and CCL have confirmed that this is largely forest and gair mazrua land.

Gandhu Oraon, an Adivasi man from Basiya said, “This is a matter of total arbitrariness, if you say that you are taking this land and the public should move. We say, at least save the gair mazrua land. We depend on it for our sustenance. We can’t go build our houses in another state. If we move from here, we will get nothing anywhere else.”

COMPENSATION

During the first phase of land acquisition for the Tetariakhar mine in 1992, about 40 hectares of private land in Pindarkom village were acquired by the central government to build a road for trucks at the entrance of the mine. Land owners here are yet to receive compensation, 23 years later.

“They’ve been running their trucks over this road for the last 15 years, but they haven’t paid a single rupee of compensation to the local people,” said R Yadav, a resident of Pindarkom.

CCL’s project officer at the Tetariakhar mine acknowledged that compensation and rehabilitation was yet to be paid to those who had lost their lands in Pindarkom. He said, “Initially, these people were so welcoming of the mine that they gave us their lands for free. Now their descendants are demanding compensation and we will see to this in due course.”

More land was acquired in Nagara in 1994, and Basiya in 2004. Communities in these villages allege that many of those whose lands were acquired are yet to receive compensation. CCL company officials, including the Project Officer for the Tetariakhar mine and the Chief Manager – Land at the CCL head office in Ranchi, agreed that no one from these villages had been paid compensation for this land yet.

“People were ready to give their land. They did this with the hope that they would get jobs. But while we cleared out our houses in 2004, only some of us received employment in CCL in 2009 as compensation,” says H Turi, a resident.

“Those who were cultivating the gair mazrua land did not receive anything.”

Amnesty International wrote to CCL to ask for their response to the allegations that people in the affected villages whose land has been acquired were not properly consulted, and some people did not receive compensation. The company did not respond.

204. Several colonial land revenue laws which apply to Jharkhand were enacted to recognize community rights over land and other resources and prevent alienation of Adivasi land. See Alex Ekka, “A Status of Adivasis/Indigenous Peoples Land Series – 4”, 2011, at http://theothermedia.in/downloadables/SAIP_Reports/SAIP_Land_Series_4_Jharkhand.pdf


206. Interview with Prabhu, activist and village surveyor, 1 July 2014, Basiya village.

207. Interview with R Yadav, 24 October 2015, Basiya village.

208. Land acquisition records, testimonies from village elders.

209. Interview with focus group, 25 October 2015, Basiya village.

BASUNDHARA-WEST MINE

The Basundhara-West mine, as seen from across the Basundhara river. October 2015. © Amnesty International India
SUNDERGARH, ODISHA

Operated by Mahanadi Coalfields Limited (MCL)

Current expansion: 2.4 to 8 mtpa

>50%
of Sundergarh’s populations are members of Scheduled Tribes

523 families live here. On average, over a third of them are not formally literate.

THE SCALE OF IMPACT

Vulnerable communities that are affected:

How much land has been acquired:
Oraon, Binjhwar, Kharia, Bhuiyan, Dhanwar.
459 hectares

How much forest land acquired:
149.5 hectares

At risk of displacement:
3570 people

Pollution:
Situated in the Ib Valley area and near Jharsuguda, ranked the 28th and 33rd most polluted industrial clusters in 2009.

Satellite map of Basundhara-West Mine.
Source: Google Earth
The mine borrows its name from the nearby Basundhara river.
About a third of the mine area is forest land abutting the villages of Sardega, Tiklipara and Kulapura.
The Basundhara-West mine is located in Hemgir block in Sundergarh, one of Odisha’s largest districts. The mine is operated by Mahanadi Coalfields Limited (MCL), a subsidiary of Coal India Limited, along with four other mines.

More than half of Sundergarh’s population are members of Scheduled Tribes and the entire district is a Scheduled Area under the Constitution of India. The district is home to the Ib-river coalfields that have estimated reserves of over 24,000 million tonnes of coal. It has been particularly affected by violence between Maoist armed groups and security forces.

Sundergarh is the parliamentary constituency of the central Minister of Tribal Affairs.

The Basundhara-West mine spans 401 hectares across the villages of Sardega, Tiklipara and Kulapara. It borrows its name from the nearby Basundhara river. Adivasi communities in the region surrounding the mine include Bhuiyan, Oraon, Kanwar, Kharia, Gond, Agaria and Binjhwar Adivasi communities, who rely on the forest and traditional common land for food, grazing their livestock, firewood, and religious purposes. Over 3500 people live in these villages. On average, over a third of them are not formally literate.
A LONG BATTLE FOR COMPENSATION

MCL began prospecting for coal in the area in November 1984. In 1989 and 1990, the central government acquired over 8000 hectares of land in fourteen villages, and transferred the land to MCL for coal mining. No consultations were held with affected communities, or consent sought. No compensation was paid. Even after the acquisition, the government did not actively seek to use the land or evict families for many years.

In 1997, the company paid partial compensation to residents of Sardega, Kulapara and Tiklipara.

The Ministry of Environment and Forests gave MCL an environmental clearance to mine 2.4 mtpa of coal from the Basundhara-West mine in April 2002.

MCL began mining in the Basundhara West mine in 2003, within 100 metres of the Basundhara river. Some of the residents Amnesty International spoke to whose lands were acquired moved further into Sardega and others to the village of Kulapara.

In 2003, Mathias Oram, an Oraon adivasi man from the area, filed a case in the Orissa High Court challenging the lack of payment of compensation to villagers whose lands had been acquired for MCL’s mining projects under the CBA. In November 2006, the High Court directed the central government and MCL to determine and pay compensation to displaced land owners within six months.

MCL argued that it did not need the lands that had been acquired 20 years ago any more, and had asked the central government to ‘denotify’ any land which had not been used for mining – a proposal the government had rejected. MCL challenged the High Court judgement in the Supreme Court of India in 2007. It claimed that it was not liable to pay compensation for the acquired land, because the land was not likely to be used for mining. It also claimed - in an odd circular argument - that the acquisition process was not complete, because compensation had not yet been paid.

The petition remained pending in the Supreme Court of India for three years. In July 2010, the Court finally ruled that the affected communities had been wrongfully deprived of compensation. It said the case was a “textbook example” of “a scenario where even the most basic obligation under the law is not complied with and even the fig leaf of legality is dispensed with”.

The Court directed the establishment of a Claims Commission which would conduct a survey to reevaluate the rate of compensation, and would enable communities to claim compensation. Compensation finally began to be given in 2011, 27 years after the compulsory acquisition of land in Hemgir.

However, the villages of Sardega and Tiklipara where compensation had already been partly paid by MCL have not yet received the revised compensation. Communities in these villages are still demanding enhanced compensation, similar to that ordered by the Supreme Court.

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Trucks carrying coal from the Basundhara West and Kulda mines, September 2014. © Amnesty International India

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217. Mahanadi Coalfields Limited’s land acquisition records, on file with Amnesty International India.

218. Interview with MCL Land Acquisition Officer, 10 September 2014, MCL Headquarters, Basundhara-Garjanbahal Area.

219. Compensation records, available on file with Amnesty International India.


223. The Supreme Court said about this argument: "If this is not adding insult to injury we do not know what else is!"

EXPANSION OF THE MINE

In 2007, MCL wrote to the Ministry of Environment seeking permission to increase the mine’s production from 2.4 mtpa to 5 mtpa. Within less than a year, in May 2008, before it had assessed impacts or conducted a public hearing, it sought an expansion in production to 8 mtpa, and an increase in the mine area by 36 hectares. MCL estimated that the coal reserves in the Basundhara mines would be exhausted soon after the expansion.

Writing to MCL in July 2008, the Ministry of Environment and Forests noted that the company had already expanded production to 4 mtpa even before receiving an environmental clearance. In June 2012, the District Collectors of Jharsuguda and Sundergarh filed a complaint in a local court against MCL for producing coal beyond its mandated capacity.

EIA HEARING

As part of the environmental clearance process for the expansion to 8 mtpa, the Orissa State Pollution Control Board (OSPCB) called for a public hearing on 30 May 2009. The OSPCB published notice of the hearing in Odia and English newspapers. However residents of Sardega and Tiklipara, including those who were village council chiefs at the time, told Amnesty International India that MCL had not made a copy of the mine’s draft EIA report available prior to the public hearing, as required. They also said that the attempts made to advertise the hearing had been inadequate. (Over a third of the people in the affected villages are not formally literate.) LP Panda from Tiklipara village told Amnesty International India, “There were no announcements for this hearing. They publish things anywhere they like and send a notice. We haven’t read any EIA report.”

As of July 2016, the EIA report for the mine expansion is still not available on the MoEF website, as required under the Environment (Protection Act), 1986.

Nearly 40 villagers who Amnesty International India, including village council heads at the time interviewed said they were not aware of the public hearing.

N Naik, a resident of Tiklipara, said, “The public hearing was not conducted in the affected villages. There were less than four people from our village who attended. I came to know only because I work with MCL.”

Kshirodra Nag, a Dalit man from Kulhapara village, said, “We were never called for any public hearing for the mine. There was no loudspeaker announcement…We haven’t benefited from the mine but our land is being used twice over.”

The hearing was held on 30 May 2009 in Garjanbahal, 6-8 kilometers from the affected villages, which made it difficult

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226. The environment clearance letter mentions that coal reserves will be exhausted by 2013-14.


230. Amnesty International India wrote to the Odisha State Pollution Control Board and MCL in April 2016 regarding this. No response was received.

231. Focus group discussion with 41 persons, 9 September 2014, Tiklipara village.

232. Amnesty International accessed copies of the EIA report at the MCL office and at the Regional Office of the Odisha Pollution Control Board in Jharsuguda.

233. Interview with N Naik, 9 September 2014, Tiklipara village

234. Interview with Kshirodra Nag, 9 September 2014, Tiklipara village
for poorer members of the community to attend. The OSPCB, in the official record of the public hearing, claimed that 100 people had attended, but only 48 had signed the attendance sheet. Over 80 per cent of the attendees were from the villages of Garjanbahal and Bankibahal, which are not directly affected. Of those who attended, only 12 people spoke. The concerns expressed, as recorded in the minutes, ranged from control of dust and air pollution, provision of drinking water facilities and electricity, a coal transportation road and afforestation. More than half of those who spoke were not from the villages most affected by the mine.

Subsequently, on 25 February 2013, the Ministry of Environment and Forests granted an environment clearance for the expansion of the mine to 8 mtpa. The Ministry said the clearance was subject to the outcome of the case filed against MCL for mining coal beyond its mandated capacity. However MCL has already begun expanding operations. The clearance letter referred to the hearing just once, in a line that reads: “Public hearing was conducted on 30.05.2009.”

**LAND ACQUISITION**

MCL does not plan to acquire any additional land for the expansion of the Basundhara-West mine. However several families in the affected villages continue to live on land that has been acquired, but which MCL has not yet taken into possession. According to MCL authorities, 53 families in Sardega and over 100 families in Tiklipara are still living in their homes on acquired land, despite being served eviction notices. Many of these families told Amnesty International India that they were unwilling to leave without receiving adequate compensation.

K Patnaik, from Sardega village, said, “Those who had titles over their land were compensated, while those who had no titles like us received nothing.”

Amnesty International India wrote to MCL in April 2016 asking for details of compensation paid to affected villagers. No response was received.

**PESA GRAM SABHA**

The PESA Act of 1996 requires gram sabhas to be consulted on land acquisition in Scheduled Areas. However the Odisha government has weakened this requirement by designating the *zia parishad* – a district-level body – as the body which needed to be consulted, and not the gram sabha. This discrepancy has been criticized by a number of official bodies.

 Authorities have not consulted communities under the PESA Act in any of the three affected villages on the mine expansion. No consultation has been held on the upcoming power plant either (see box).

Nalini Sa, an Adivasi woman who is the head of the Sumra village council, which covers Sardega village, told Amnesty International India that there had been no gram sabhas on the expansion. An examination of the Sumra village council register confirmed this.

The Divisional Land Acquisition Officer of the Sadar division of Sundergarh told Amnesty International India that the PESA act was not applicable to land acquisition under the Coal Bearing Areas Act. The Sub-Divisional Panchayat Officer of the Sadar division asked, “If Odisha has not even drafted the rules for the PESA Act, how are we supposed to monitor its implementation?”

Umashankar, a local Right to Information activist, said, “The PESA Act was drafted by the government, the Fifth Schedule was drafted by the government, Coal India was created by the government. Then why doesn’t the government follow its own laws?”

235. Odisha State Pollution Control Board, “Proceedings of the Public Hearing of Basundhara (W) OCP; MCL for the expansion of coal production at Basundhara, Garjanbahal area from 2.4 to 8 MTPA on 30 May 2009”, available on file with Amnesty International India.

236. Odisha State Pollution Control Board, “Public intended to give their views in the Public Hearing of M/s. Basundhara (West) OCP, MCL, for expansion of coal production”, 30 May 2009, available on file with Amnesty International India.


239. Interview with Senior Manager (Mining), Mahanadi Coalfields Ltd., 31 October 2015, Basundhara Garjanbahal Area.

240. Interview with the Land Acquisition Officer, MCL, 31 October 2015, Basundhara Garjanbahal area.


243. Interview with Land Acquisition Officer, Sadar Division, 10 September 2014, Sundergarh.

244. Interview with Umashankar Prasad, 6 September 2014, Gopalpur village.
FRA CLAIMS

About a third of the Basundhara-West mine area is forest land abutting the villages of Sardega, Tiklipara and Kulhapara.245

62-year old Dhani Mahakul, from a village near Sardega, said, “We live on forest land, we herd our livestock here, we get our food from here - both by hunting and food from the forest. We worship the forest mother too.”

49-year old Sukuta S from the village of Balinga, said: “We used to get mahua, mangoes, firewood and water from the forest.” Hemanto Samrat from Gopalpur village told Amnesty International India: “We worshipped the forest god. We got all our firewood from here. This place was green, now it is black with dust…When agricultural land is lost, what are we supposed to eat? Coal?”246

The Forest Rights Act of 2006 requires state governments to settle all claims of individual and community rights under the Act. However there has been little action on the ground. Forest land is classified as government land and those without titles as ‘anabadi’ (encroachers).

Many villagers who Amnesty International spoke to were not aware that they could file community claims over their common resources. In Sardega village, only 20 persons have been granted individual forest rights titles. In Tiklipara, of 40 forest rights claims filed, 24 were rejected and 16 were still pending.

“We filed for forest titles three years ago, but we still haven’t got it,” said Sundar, a Dhanwar Adivasi man who lives in Sardega. So far, there have been no community claims granted over common forest lands.247


246. Interviews on 12 February 2014, Balinga village.

247. Sundergarh district has the worst record in the country of distributing community forest rights titles. Official records accessed by Amnesty International India indicate that not a single community forest rights title has been granted in the entire district since 2006, when the FRA was passed. There have been only 22 claims of community forest rights titles, stemming from a single block, which are still pending. Amnesty International India researchers visited the district welfare office, where thousands of community rights claims which were yet to be processed filled two different offices. District authorities said that they were still in the process of verifying pending individual claims and would get to community rights claims after.
THE MAHANADI BASIN POWER LTD THERMAL POWER PLANT

The villages surrounding the Basundhara-West mine site are also where Coal India is making its first foray into thermal power generation. MCL, through its subsidiary Mahanadi Basin Power Limited (MBPL), aims to set up a 2x800 MW (megawatt) coal based “super critical” thermal power plant on 860 hectares of land in the villages of Sardega, Tiklipara and Kulapara, which were acquired under the Coal Bearing Areas Act in 1989 and 1990 for coal mining.

The MBPL power project will be supplied coal from MCL mines in the vicinity, cutting down the cost of transport. Communities in the affected villages oppose the setting up of the power plant, and many say they are still in the dark about its impact on local land and water resources.

According to a draft EIA for the power plant prepared in May 2013, “the land for the plant facilities is already acquired by MCL”. However, “additional land will also be acquired for the coal conveyor corridor, approach road and water pipeline outside the plant area.”

MCL applied for environmental clearance for the power plant in 2011. As part of the clearance process, the Orissa Pollution Control Board sent a notice to the village councils of Sardega and Tiklipara on 16 March 2013, stating that a public hearing would be held on 22 May 2013.

The village gram sabhas wrote back, objecting to the proposal. In their letter, villagers said that MCL could not begin proceedings for the transfer of their land for the power plant until the Supreme Court orders pertaining to compensation, rehabilitation and resettlement had been followed. The letter also stated that the land was originally acquired for coal mining and not thermal power generation, and that the pollution risks from power generation would be significantly higher. It said: “There will be serious air pollution and water pollution which has not been taken care of in the (EIA) executive summary. The entire environment will be adversely affected if the thermal power project is established on the mines.”

The pollution control board went ahead and conducted the hearing in Tiklipara village on 22 May 2013, but the hearing was cancelled after wide spread protests from communities.

Rabiratna Patel, an activist from Siarmal village, said, “At the meeting, we said we won’t sit until our demands are met. We told them we did not want the power plant, it is not our choice.” Over 100 villagers signed a letter asking for permission to be denied to the power plant. The minutes of the public hearing state that it was cancelled because of a potential “law and order situation”.

On 27 November 2013, the state pollution control board conducted a second public hearing. The minutes of the meeting state that about 120 people attended the hearing, but only 47 signed the attendance sheet, many of them from villages other than those primarily affected by the power plant.

At the public hearing, concerns were raised that the impact of the power plants had not been adequately explained. Villagers also asked authorities to conduct gram sabhas in affected villages before public hearings to inform communities about the project. Nalini Sa, the head of the village council of Sumra village, pointed out that even she had not been informed about the establishment of the project.

An MBPL official committed to carrying out more developmental activities in the region, but not to facilitating consultations. At the time of writing, the full Environment Impact Assessment report of the power plant has not yet been made available on the MoEF’s website.

“Until now, they haven’t explained the impacts to us. They decide to hold public meetings over night, take photographs of them and say that this has been done,” said M Bhui, an Adivasi man from Sardega.

The MBPL power plant will also involve the diversion of 143 hectares of forest land. The Divisional Forest Officer for the Sadar sub-division in Sundergarh, under which the affected villages fall, told Amnesty International India that the forest land affected would be within the villages of Sardega and Tiklipara, which could further adversely impact the livelihoods of Adivasi communities in these villages.

In August 2014, the Block Development Officer for Hemgir proposed that gram sabhas be conducted in Sardega and Tiklipara on 11 and 12 September 2014 on the issue of diversion of forest land in Sardega and Tiklipara for the power plant.

However neither hearing took place. Amnesty International India was present in both villages on these dates. Local communities refused to conduct the gram sabhas, and instead wrote to district authorities that communities had not yet been fully compensated, and that the power plant was likely to further contaminate their air and water resources.

“We object to the letter sent by the BDO for the gram sabha of the power plant, just as we have objected to the power plant, as lots of poor people will suffer,” said Chakradhan Naik of Tiklipara village as he read out the letter in a meeting of over 60 people from Tiklipara and Sardega. “The Claims Commission must look into issues of legacy compensation and displaced families must be given what is due to them. Our land was acquired for a mine without our will, it cannot just be taken for a power plant.”

In September 2015, a ‘High-Level Clearance Committee’ chaired by the Odisha Chief Minister formally approved the project. Coal India authorities have stated that land acquisition and environmental clearance for the project are at an advanced stage and that the project was in an advanced stage of seeking environmental clearances.


250. Notice published by the OSPCB in the Sambad daily newspaper. Copy on file with Amnesty International India.

251. Interview with Rabiratna Patel, 6 September 2014, Siarmal village.


256. Interviews with Sundergarh sub-collector, Sumra village panchayat officials, 10 September 2014, Sundergarh.

257. Focus group discussion, 9 September 2014, Sardega.

258. Interview with Divisional Forest Officer (Sadar division), 10 September 2014, Sundergarh.


260. Interview with focus group, 9 September 2014, Tiklipara village.

4. INDIA’S INTERNATIONAL LEGAL OBLIGATIONS

India is a state party to several core UN human rights treaties, including the International Covenant on Economic, Social and Cultural Rights (ICESCR), the International Covenant on Civil and Political Rights (ICCPR), the Convention on the Rights of the Child (CRC), the Convention on the Elimination of All Forms of Discrimination against Women (CEDAW), and the International Convention on the Elimination of All Forms of Racial Discrimination (CERD).

India has also ratified the ILO Indigenous and Tribal Populations Convention, which recognizes the right of Indigenous peoples to lands they traditionally occupy. India also supported the adoption of the UN Declaration on the Rights of Indigenous Peoples (UNDRIP).

RIGHTS OF INDIGENOUS PEOPLES TO LAND, CONSULTATION AND FREE PRIOR AND INFORMED CONSENT

The Adivasi communities affected by the mines discussed in this report identify themselves as Indigenous peoples. They have unique cultural and spiritual practices, have been historically in a position of economic and political marginalisation with regard to both state and federal governments, and enjoy a spiritual relationship with their land, based on a relationship with that land going back many generations.

Indian law does not formally recognize any communities as being Indigenous. However the Indian Constitution provides special status to several Adivasi communities (identified as Scheduled Tribes), acknowledging their historic disadvantages and their unique cultures and relationship with their lands. It is important to note that the status of being a minority or Indigenous people in international law does not depend on that status being recognised in national law.

The Fifth Schedule of the Constitution lists certain districts and territories in nine states where Adivasi communities live as protected ‘Scheduled Areas’, where these communities have special customary rights over their land. The Constitution states that in these areas, state governments can make laws regulating the transfer of land by or among Adivasis.

In 1997, the Supreme Court ruled in the case of *Samatha v. State of Andhra Pradesh* that the provisions of the Fifth Schedule also applied to the transfer of private or government land in Scheduled Areas to non-Adivasis.

62-year old Dhani Mahakul, from a village near Sardega, said, “We live on forest land, we herd our livestock here, we get our food from here - both by hunting and food from the forest - we worship the forest mother too.”

49-year old Sukuta S from the village of Balinga, said: “We used to get mahua, mangoes, firewood and water from the forest.” Ramadhar Shrivas from Pali village told Amnesty International India: “Our gods were intertwined with the jungle. All of this changed after they found coal here and started to mine. They take the name of development, but with development comes destruction.” Interviewees in communities affected by the mines studied in this report confirmed that they depend on their lands for livelihoods and spiritual, cultural and medicinal practices.

The right of Indigenous peoples to lands they traditionally occupy is recognised in ILO Indigenous and Tribal Populations Convention 107, which India has ratified. India also supported the adoption of the UN Declaration on the Rights of Indigenous Peoples (UNDRIP), which further outlines the obligations of the State to recognize Indigenous peoples’ land rights, develop a mechanism for their demarcation and titling, and provide compensation for lands taken without their consent. Indigenous peoples enjoy the right to lands they have traditionally occupied, regardless of whether their title is recognised under domestic law.

The right of Indigenous peoples to free, prior and informed consent is recognised under UNDRIP, and also by UN treaty monitoring bodies interpreting the CERD, the ICESCR and the ICCPR.

The UNDRIP states that states are obligated to consult and cooperate in good faith with Indigenous peoples concerned to obtain their free and informed consent prior to the approval of any project affecting their lands or territories and other resources, particularly in connection with the development, utilization or exploitation of mineral, water or other resources. It also states that no relocation shall take place without the free, prior and informed consent of the Indigenous peoples concerned.

Where land has been taken without consent, Indigenous peoples have the right to restitution, and where that is not possible, compensation, for the lands, territories and resources which they have traditionally owned or otherwise occupied or used, and which have been confiscated, taken, occupied, used or damaged without their free, prior and informed consent.

As the UN Special Rapporteur on the rights of Indigenous peoples – the UN’s lead expert on the issue – has noted, natural resource extraction can affect a range of rights of Indigenous peoples, including their rights to health, physical well-being, a clean and healthy environment, rights to culture and religion, and to set priorities for development. By their very nature, these rights “entail autonomy of decision-making in their exercise … the consultation and consent standard … is a means of effectuating these rights, and is further justified by the generally marginalized character of Indigenous peoples in the political sphere”.

The Special Rapporteur has said that, given the invasive nature of industrial-scale extraction of natural resources, as a general rule, Indigenous peoples’ consent is required for extractive activities within their territories.

Free, prior and informed consent has certain core requirements:
Free implies that there is no coercion, intimidation or manipulation, and that there is equality of bargaining power - this is likely to mean that an Indigenous people should have access to independent legal and technical advice in order to fully understand the proposals before them, especially in cases of natural resource extraction projects.

Prior implies that consent is to be sought sufficiently in advance of any authorization or commencement of activities and respect is shown to time requirements of Indigenous consultation/consensus processes.

Informed implies that information is provided allowing a full understanding of the nature of the proposals and their possible impacts, including the nature, size, pace, reversibility and scope of any proposed project or activity; the purpose of the project as well as its duration; locality and areas affected; an assessment of the likely economic, social, cultural and environmental impact, including potential risks; personnel likely to be involved in the execution of the project; monitoring and grievance mechanisms; and procedures for equitable sharing of benefits arising from the project.

This objective of the process must be to achieve agreement on both sides. Consultation and participation are crucial components of a consent process. Where there is significant risk of harm to the rights of the community, the project must not proceed without consent.280

A sign in the village of Jala in Latehar stating that every outsider must obtain the village assembly’s prior consent before entering, July 2014 © Amnesty International India
FORCED EVICTIONS

The ICCPR and ICESCR, along with other human rights treaties, require India to refrain from and prevent forced evictions. The UN Committee on Economic, Social and Cultural Rights defines forced evictions as “the permanent or temporary removal against their will of individuals, families and/or communities from the homes and/or land which they occupy, without the provision of, and access to, appropriate forms of legal or other protection.”

Forced evictions constitute gross violations of a range of internationally recognised human rights, including the human rights to adequate housing, food, water, health, education, work, security of the person, security of the home, freedom from cruel, inhuman and degrading treatment, and freedom of movement.281

Forced evictions may only be carried out as a last resort and only after all feasible alternatives to eviction have been explored in genuine consultation with affected people. Evictions can only be carried out when appropriate procedural protections are in place, including:

– An opportunity for genuine consultation with those affected;
– Adequate and reasonable notice for affected people prior to the eviction;
– Information on the proposed evictions and, where applicable, on the alternative purpose for which the land or housing is to be used, to be made available in reasonable time to all those affected;
– Government officials or their representatives to be present during an eviction;
– Everyone involved in carrying out the eviction to be properly identified;
– Evictions not to take place in particularly bad weather or at night without the consent of the affected people;
– Provision of legal remedies;
– Provision, where possible, of legal to people who need it to seek redress from courts;
– Provision of adequate alternative housing to those who cannot provide for themselves; and
– Compensation for all losses.

281. These include the Universal Declaration of Human Rights, the International Covenant on Economic, Social and Cultural Rights (art. 11, para. 1), the Convention on the Rights of the Child (art. 27, para. 3), the non-discrimination provisions found in article 14, paragraph 2 (h), of the Convention on the Elimination of All Forms of Discrimination against Women, and article 5 (e) of the International Convention on the Elimination of All Forms of Racial Discrimination.
CORPORATE RESPONSIBILITY TO RESPECT HUMAN RIGHTS

Companies have a responsibility to respect human rights in their operations. The UN Guiding Principles on Business and Human Rights require that companies “do no harm” or, in other words, take pro-active steps to ensure that they do not cause or contribute to human rights abuses within their global operations and respond to any human rights abuses when they do occur. To “know and show” that they comply with their responsibility to respect human rights, companies must carry out human rights due diligence. This is a process “to identify, prevent, mitigate and account for how they address their impacts on human rights.”

The UN Guiding Principles on Business and Human Rights states that States should:

(a) Enforce laws that are aimed at, or have the effect of, requiring business enterprises to respect human rights, and periodically to assess the adequacy of such laws and address any gaps;

(b) Ensure that other laws and policies governing the creation and ongoing operation of business enterprises, such as corporate law, do not constrain but enable business respect for human rights;

(c) Provide effective guidance to business enterprises on how to respect human rights throughout their operations;

(d) Encourage, and where appropriate require, business enterprises to communicate how they address their human rights impacts.

Where a business enterprise is controlled by the State or where its acts can be attributed otherwise to the State, an abuse of human rights by the business enterprise may entail a violation of the State’s own international law obligations. Moreover, the closer a business enterprise is to the State, or the more it relies on statutory authority or taxpayer support, the stronger the State’s policy rationale becomes for ensuring that the enterprise respects human rights.282

The UN Special Rapporteur on the rights of Indigenous peoples has also stated that extractive companies should perform due diligence to ensure that their actions will not violate or be complicit in violating Indigenous peoples’ rights, identifying and assessing any actual or potential adverse human rights impacts of a resource extraction project.283


IMPACT ON WOMEN

India is a state party to the Convention on the Elimination of All Forms of Discrimination against Women (CEDAW). The expert body which oversees implementation of the treaty has expressed grave concern about the displacement of tribal women in India owing to the implementation of major projects and the influence of global economic trends. The Committee stated in 2007: “While the Committee appreciates the need for economic growth, it is concerned that the human rights of vulnerable groups such as tribal populations may be adversely affected by large-scale economic projects.”

The Committee has urged the Indian government to “study the impact of mega projects on tribal and rural women and to institute safeguards against their displacement and violation of their human rights.”

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5. GOVERNMENT AND CORPORATE FAILURES

FORCED EVICTIONS AND THE COAL BEARING AREAS ACT

India’s Supreme Court has said that the right to adequate housing is integral to the constitutionally-protected right to life. However the Coal Bearing Areas Act, which has been used in the cases examined in this report, fares poorly when evaluated against human rights protections against forced evictions.

For example, the procedure for notification of acquisition under the Act cannot be considered as adequate notice as set out by international human rights law and standards. Additionally, there is no requirement on the government to consult people who will be evicted from their lands as a result of the acquisition. As noted in the report, land acquisition under the CBA Act is explicitly exempted from the requirements of social impact assessment, consultation and consent required by the Right to Fair Compensation and Transparency in Land Acquisition, Rehabilitation and Resettlement Act, 2013.

In this context, the requirement for a public hearing in the Environment Protection Act 1986 and the powers assigned to the gram sabhas or village assemblies in the Scheduled Tribes and Other Traditional Forest Dwellers (Recognition of Forest Rights) Act, 2006 are among a few limited available avenues for affected people to participate in decisions that affect their lives.

However, as demonstrated in the cases described in this report, these limited requirements for participation have not been complied with by the authorities. In most cases, gram sabhas for the diversion of forest land have not been conducted. Where public hearings have been held, affected people have not adequately informed about the hearings or been provided with complete information in a form and language that is accessible to them.

The UN Basic Principles and Guidelines stipulate:

“Urban or rural planning and development processes should involve all those likely to be affected and should include the following elements:

(a) appropriate notice to all potentially affected persons that eviction is being considered and that there will be public hearings on the proposed plans and alternatives;

(b) effective dissemination by the authorities of relevant information in advance, including land records and proposed comprehensive resettlement plans specifically addressing efforts to protect vulnerable groups;

(c) a reasonable time period for public review of, comment on, and/or objection to the proposed plan;

(d) opportunities and efforts to facilitate the provision of legal, technical and other advice to affected persons about their rights and options; and

(e) holding of public hearing(s) that provide(s) affected persons and their advocates with opportunities to challenge the eviction decision and/or to present alternative proposals and to articulate their demands and development priorities.”

The Basic Principles and Guidelines make clear that, “[s]tates should explore fully all possible alternatives to evictions. All potentially affected groups and persons, including women, Indigenous peoples and persons with disabilities, as well as others working on behalf of the affected, have the right to relevant information, full consultation and participation throughout the entire process, and to propose alternatives that authorities should duly consider. In the event that agreement cannot be reached on a proposed alternative among concerned parties, an independent body having constitutional authority, such as a court of law, tribunal or ombudsperson should mediate, arbitrate or adjudicate as appropriate.”

The limited processes for participation provided in law, and their tokenistic implementation as illustrated in the report, do not constitute genuine consultation with affected people as required by international human rights standards.

In the absence of this and other key human rights safeguards against forced evictions, any evictions resulting from acquisition under the Coal Bearing Areas Act is likely to amount to a forced eviction.


286. Principle 37 of the UN Basic Principles and Guidelines on Development-based Evictions and Displacement.

287. Principle 38 of the UN Basic Principles and Guidelines on Development-based Evictions and Displacement.
COAL INDIA'S LACK OF RESPECT FOR HUMAN RIGHTS

This report has examined three major coal mining projects and scrutinised the impact of these projects on the human rights of local people, including Adivasi communities. While the state and central governmental authorities bear significant responsibility for the violations and abuses documented, CIL and its subsidiaries have clearly breached their responsibility to respect human rights. As the UN Guiding Principles on Business and Human Rights note:

“the responsibility to respect human rights is a global standard of expected conduct for all business enterprises wherever they operate. It exists independently of States’ abilities and/or willingness to fulfil their own human rights obligations, and does not diminish those obligations. And it exists over and above compliance with national laws and regulations protecting human rights.”

CIL cannot, therefore, point to the Indian legal framework that has enabled it to acquire land for mining, mine expansion or other purposes, as a defence for its failure to respect the rights of the communities living on that land.

FAILURE TO ENSURE MEANINGFUL CONSULTATION WITH AFFECTED PEOPLE

This report has described the failure of CIL and its subsidiaries to ensure that affected people are properly consulted on activities that will impact their human rights, specifically the acquisition of land which entailed both the loss of their homes and the loss, in some cases, of means of livelihood.

The responsibility to consult affected communities rests, by law with district-level authorities under the Panchayat (Extension to Scheduled Areas) Act and Forest Rights Act, and state pollution control authorities under the Environment Protection Act. However, under international standards on business and human rights, it would be incumbent on CIL and its subsidiaries to have carried out due diligence checks to ensure government agencies had conducted proper consultation with regard to land acquisition for coal mining operations.

Based on the testimony of the communities and other evidence gathered by Amnesty International India, it would appear the companies took little or no action to either consult the communities themselves or to ensure that government consultations were adequate and met human rights standards.

Moreover, the evidence gathered by Amnesty International India demonstrates that CIL and its subsidiaries knew, or ought reasonably to have known, that the consultation processes undertaken in each of the cases detailed in this report were seriously limited and fell far short of meaningful consultation, including by failing to adequately explain the risks and impacts that coal mining and processing would have on the land and communities.

In at least seven cases, employees of Coal India subsidiaries were present at consultation meetings, including environmental public hearings for the Basundhara-West, Kusmunda and Tetariakhkar mine expansions and the Mahanadi Basin Power Plant, and village assemblies conducted for the forest clearances for the Kusmunda mine.

In the case of Indigenous peoples, international standards require the free, prior and informed consent of affected Adivasi communities. This clearly was not given in the cases of the expansions of the Kusmunda, Tetariakhkar and Basundhara-West open cast coal mines.

FORCED EVICTIONS AND LOSS OF LIVELIHOOD OPTIONS

The failure to consult people about the acquisition of land was one factor that contributed to forced evictions. In cases documented for this report, the people whose land was acquired were not given adequate information or the chance to consider or put forward alternatives to eviction.

The compensation offered in many cases included relocation to a rehabilitation colony which it was the responsibility of the companies to establish. In these colonies people were not given title to the land, meaning they cannot sell or lease the land.

The compensation and relocation process also failed to consider issues of loss of access to land used for livelihood and subsistence purposes. Because of the serious limitations of the process and the inadequacy of the compensation and rehabilitation, the evictions breached international human rights standards. Although the process was established by government, CIL and its subsidiaries were well aware of the process and had a direct involvement in establishing the rehabilitation colonies.

In addition, in the three cases, part of the compensation package included promises of employment in a CIL subsidiary. As noted in the case studies on the Kusmunda, Tetariakhar and Basundhara-West Mine, as of May 2016, according to members of the community, this has not yet fully happened.

In the cases of families who refused to move from their original homes, CIL subsidiaries were directly involved in evictions. This occurred in the case of Barkuta, where SECL issued a notice to the residents of Barkuta telling them to demolish their homes. This notice referred to previous governmental notices. In this and other cases documented for the report, it is clear that the companies and the governmental authorities were working together to remove people from land needed for coal mining.

ABUSE OF THE RIGHTS OF INDIGENOUS PEOPLES

As noted above, the consultation processes did not meet the standards required for free, prior, informed consent of Indigenous peoples. The processes used to acquire Adivasi land also breached aspects of India’s own legal protections for Scheduled Tribes. Again, Coal India and its subsidiaries knew, or ought reasonably to have known this was the case.
GOVERNMENTAL FAILURES ARE NO DEFENCE

CIL cannot point to the role of the government to defend the fact that it knowingly benefited from land acquisition processes that violated the human rights of thousands of people. The UN Guiding Principles make clear that companies must:

“Seek to prevent or mitigate adverse human rights impacts that are directly linked to their operations, products or services by their business relationships, even if they have not contributed to those impacts.”

In the context of mining operations this would mean that a mining company granted access to, or rights over, land as part of its mining operations would have to satisfy itself that no human rights were violated in the process of acquiring the land. However, based on the evidence gathered by Amnesty International India, the human rights of thousands of people were violated by poor consultation and subsequent forced evictions and loss of livelihoods.

Amnesty International India asked South Eastern Coalfields Limited, Central Coalfields Limited and Mahanadi Coalfields Limited what policies and practices they had in place to identify and prevent human rights abuses related to their operations, including but not limited to consulting and seeking the consent of Adivasi communities during land acquisition, rehabilitation and resettlement, and mining. At the time of writing, none of the companies had provided a response.

CIL and its subsidiaries have failed to respect human rights, thereby breaching well-established international standards on business and human rights. By continuing to acquire land through flawed processes that breach international law, CIL’s failure of respect human rights is ongoing.

International standards also make clear that when a company has caused or contributed to human rights violations, it must act to remedy these violations. This action can include working with governmental authorities to rectify problems. Amnesty International India found no evidence that CIL is taking action to address the human rights violations on which its operations as a mining company are based. Land acquisition is fundamental to coal mining operations. Because of the nature of the processes used, CIL’s operations have been, in the cases documented in this report, based on a system which fails to respect human rights.

6. CONCLUSION AND RECOMMENDATIONS

“Why is the state’s perception and vision of development at such great odds with the people it purports to develop? And why are their rights so dispensable?”

Supreme Court of India

Indian authorities have breached their obligations under international law to protect the rights of Adivasi communities affected by CIL mining projects in Chhattisgarh, Jharkhand and Odisha. The governments of these states have failed to assess human rights impacts, provide adequate information to communities on the expansion of existing mines, meaningfully consult them, and seek their free, prior and informed consent before granting clearance to the projects.

The domestic Indian legal framework does not fully recognize the rights of Indigenous peoples. The antiquated Coal Bearing Areas Act does not contain adequate human rights protections to safeguard against forced evictions, and legitimizes land acquisition without consultation, enabling further human rights violations.

Authorities in state governments have failed to enforce the laws that do contain provisions regarding consultation and consent, including the PESA Act, the FRA and the Environment Protection Act as required. By not harmonizing existing laws and not repealing or amending laws that do not meet international human rights standards, the central government has contributed to a situation where authorities misuse the patchwork of legislation to ensure that Adivasi communities’ rights remain largely on paper.

CIL and its subsidiaries have breached their responsibility to respect the human rights of people affected by their operations. In particular, they have failed to seek to prevent or mitigate adverse human rights impacts directly linked to their operations, and have benefited from the abuses of the rights of Adivasi communities.

While this report has focused on three specific mines, the common issues reported across these regions are indicative of a broader pattern of violations of Adivasi communities’ rights to consultation and consent – a pattern which could spread even further, if existing safeguards are further weakened by the government.

The consequences of these failures are felt most keenly by Adivasi communities - some of India’s most vulnerable people, who already live in poverty. Without respect for the rights of all people, India’s development goals will never truly be met.

Oraon children near the coal weigh bridge in Basiya village, Latehar, Jharkhand. © Amnesty International India
RECOMMENDATIONS
TO THE STATE GOVERNMENTS OF CHHATTISGARH, JHARKHAND AND ODISHA:
– Clearly indicate the steps that the government will take to assess damage and provide effective remedies to all those forcibly evicted as a result of the expansion of the Kusmunda, Tetariakhar and Basundhara-West coal mines.
– Compensate all communities for the loss of their assets and for impacts on their lives and livelihoods, irrespective of whether they have formal land titles.
– Undertake a comprehensive human rights and environmental impact assessment of the expansion of the Kusmunda, Tetariakhar and Basundhara-West coal mining projects and the Mahanadi Basin Power Plant in genuine and open consultation with Adivasi and other communities.
– Provide communities, including those who are not formally literate, with accessible and adequate information about the environmental and human rights impacts of the expansions of the mines in their own languages.
– Where land is sought to be acquired, ensure that all people who stand to be affected are provided with accessible and adequate information about rehabilitation, resettlement and compensation measures.
– Publicly guarantee, and ensure, that there will be no evictions until genuine consultations have taken place with affected communities and that resettlement and compensation measures have been fully implemented.
– Ensure that Adivasi communities’ free, prior and informed consent is sought and obtained prior to any continuation of the expansion projects and respect their decision if they do not provide it.
– Ensure that no expansion of the three coal mines takes place until appropriate action has been taken in light of the human rights impact assessments and consultations with affected communities to protect their rights.

TO THE MINISTRY OF ENVIRONMENT, FORESTS AND CLIMATE CHANGE:
– Amend the 2006 EIA circular to ensure that the potential human rights impact of proposed mines, or the expansion of existing mines, is considered as part of the social impact assessment of the Environmental Impact Assessment process.
– Require public hearings to be carried out as part of the Environmental Impact Assessment process for mines of all sizes, including expansion projects.
– Require Expert Appraisal Committees to thoroughly consider the concerns raised during public hearings conducted as part of the environment clearance process, including through site visits.
– Hold to account mining companies who fail to conduct meaningful consultations with affected communities during public hearings.

TO THE MINISTRY OF PANCHAYATI RAJ:
– Work more closely with state governments and the Ministry of Coal to monitor implementation of the Panchayat (Extension to Scheduled Areas) Act by district authorities.
– Ensure that any violations of the requirements of consultation with communities in Scheduled Areas prior to land acquisition, rehabilitation or resettlement, are brought to the attention of relevant authorities in the state government.
TO THE MINISTRY OF RURAL DEVELOPMENT:

- Amend the Right to Fair Compensation and Transparency in Land Acquisition, Rehabilitation and Resettlement Act to ensure that the free, prior and informed consent of Adivasi communities is sought wherever they may live, and not just in Scheduled Areas.
- Introduce a notification in Parliament making all provisions of the Right to Fair Compensation and Transparency in Land Acquisition, Rehabilitation and Resettlement Act - including those related to social impact assessments and seeking the free prior informed consent of Adivasi communities prior to land acquisition - are extended to land acquisition under the Coal Bearing Areas Act.

TO THE MINISTRY OF TRIBAL AFFAIRS:

- Work more closely with state governments, the Ministry of Coal and the Ministry of Environment, Forests and Climate Change to monitor the implementation of the Forest Rights Act.
- Ensure that any violations of the requirements of seeking the consent of communities in Scheduled Areas prior to diversion of forest land for industry are brought to the attention of relevant authorities in the state government.
- Establish a process to ensure that state governments seek the free, prior, and informed consent of Adivasi communities in relation to all operations related to mining, in line with the Forest Rights Act, the PESA Act and India’s international human rights obligations.

TO THE GOVERNMENT OF INDIA:

- Ratify ILO Convention 169 on Indigenous and Tribal Peoples.

TO COAL INDIA LIMITED AND ITS SUBSIDIARIES:

- Urgently address and remedy the existing negative environmental and human rights impacts of the expansions of the Kusmunda, Tetariakhar and Basundhara-West mines, in full consultation with project-affected communities.
- Ensure that expansions of Coal India’s Kusmunda, Basundhara-West and Tetariakhar mines do not go ahead until existing human rights concerns are resolved.
- Ensure that the free, prior and informed consent of affected Adivasi communities is obtained prior to starting or expanding mining operations, and respect their decision if they do not provide it.
- Amend rehabilitation policies to meet international standards, including seeking the free prior and informed consent of Adivasi communities, and consulting all affected communities, prior to land acquisition or mining.
- Establish a human rights due diligence process to identify, assess and mitigate human rights risks and abuses in operations across all mines.
- Conduct a comprehensive review of operations in all CIL coal mines across India to identify and assess human rights risks and abuses, and publicly disclose the steps taken identify, assess and mitigate them.

TO COAL INDIA LIMITED’S BANKERS AND INVESTORS

- Express concern to Coal India Limited about the impact of its operations in Chhattisgarh, Jharkhand and Odisha on human rights and call on Coal India Limited to implement the recommendations above.
- Call on Coal India Limited to publicly commit to seek to prevent or mitigate adverse human rights impacts directly linked to its operations. Ask Coal India Limited to report regularly on progress by the company to address the risks and human rights concerns surrounding its operations in Chhattisgarh, Jharkhand and Odisha.
- Call on Coal India Limited to respect all internationally recognised human rights in its operations.
"WHEN LAND IS LOST, DO WE EAT COAL?" COAL MINING AND VIOLATIONS OF Adivasi RIGHTS IN INDIA

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“The PESA Act was drafted by the government. The Fifth Schedule was drafted by the government. Coal India was created by the government. Then why doesn’t the government follow its own laws?”

Umashankar, Right to Information activist, Balinga village, September 2014.
A Dalit woman outside her home in Pindarkom village, near the Tetarakhir coal mine, July 2014. © Amnesty International India